



CLASSIFICATION ENQUIRY FORM FOR CHEMICALS CONTROLLED UNDER THE CHEMICAL WEAPONS CONVENTION

Part A : Details of the facility

1. Facility Name :

3. Facility Address :

2. Permit Number (if any) :

Tel No. :

Fax No. :

Part B : Details of the chemical(s)

Item 1 1. Chemical Name :

2. Chemical Abstracts Service Registry Number :

3. Structural Formula :

Item 2 1. Chemical Name :

2. Chemical Abstracts Service Registry Number :

3. Structural Formula :

Item 3 1. Chemical Name :

2. Chemical Abstracts Service Registry Number :

3. Structural Formula :

Part C : I would like to have the reply returned to me (please '✓' one) :

in person (to collect at TID)

by mail

by fax

Part D : Details of the Enquirer	
1. Name of the enquirer : 2. Position/Post title of the enquirer in the Facility : 3. Signature of the enquirer and Company Chop of the Facility : Date :	<u>For official use only</u> Date of Receipt : Remarks :

Guidance on Completion of this Form:

1. Please complete this form in English and in block letters.
2. Please provide the Chemical Abstracts Service (CAS) Registry Number and/or the structural formula of the chemical(s) concerned. It may be difficult for Trade and Industry Department to respond to your enquiry precisely in case you do not provide such information.
3. To facilitate classification of the chemical(s) concerned, please provide as much details as possible. If there are brochures, pamphlets or other information relevant to the technical specifications, please attach them with this form and submit to Trade and Industry Department.
4. Completed Classification Enquiry Form should be submitted in person, by mail or by fax with attachments, if any, to Trade and Industry Department, Strategic Trade Controls Branch, Rm 516B, 5/F, Trade and Industry Department Tower, 700 Nathan Road, Mongkok, Kowloon (Fax No. 2396 3070). Please indicate at Part C how you would like to receive the reply.

Important Notes:

1. Under the Chemical Weapons (Convention) Ordinance, operator of a facility requires a permit issued by the Director-General of Trade and Industry to operate the facility during a particular year if:
 - (a) **Schedule 1** chemicals (other than excluded Schedule 1 chemicals) are likely to be produced, acquired, retained or used at, or transferred from, the facility during the year. Schedule 1 chemicals are excluded chemicals in relation to a facility and to a year if –
 - (i) the total amount of those chemicals likely to be acquired, retained or used at, or transferred from, the facility during the year does not exceed 100 grams;
 - (ii) those chemicals will not be produced at the facility during that year; and
 - (iii) those chemicals are intended only to be put to research, medical or pharmaceutical purposes;
 - (b) an amount of a **Schedule 2** chemical exceeding, in the aggregate, the Schedule 2 permit threshold for that chemical is likely to be produced, processed or consumed at a plant comprising, or comprising part of, the facility during the year. The threshold is –
 - (i) 1 kg for a chemical listed in Part A of Schedule 2 and designated with an "*";
 - (ii) 100 kg for a chemical listed in Part A of Schedule 2 but is not designated with an "*"; or
 - (iii) 1 tonne for a chemical listed in Part B of Schedule 2; or
 - (c) an amount of a **Schedule 3** chemical exceeding, in the aggregate, 30 tonnes of that chemical is likely to be produced at a plant comprising, or comprising part of, the facility during the year.
2. The Chemical Weapons (Convention) Ordinance requires an operator to notify the Director-General of Trade and Industry of information relating to their facilities and the production of the concerned Unscheduled Discrete Organic Chemicals (UDOCs) in a year if the facility:
 - (a) produced by synthesis during the previous calendar year more than 200 tonnes of UDOCs; or
 - (b) comprise one or more plants which produced by synthesis during the previous year more than 30 tonnes of a UDOC containing the elements phosphorus, sulfur or fluorine (PSF-chemicals).
3. A person who fails to comply with the permit and notification requirements commits an offence and shall be liable on conviction to a fine and imprisonment. Please refer to the relevant notices and circulars issued by Trade and Industry Department or visit the Chemical Weapons (Convention) Ordinance Website of Trade and Industry Department (<http://www.cwc.tid.gov.hk>) for more details on the permit and notification requirements.