# **EXPORT LICENCE APPLICATION FORM (Strategic Commodities)** [See Note (1)]

THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION Import and Export Ordinance, (Cap. 60) Import and Export (Strategic Commodities) Regulations		Receipt No. :  (B) Consignee				
(A) Exporter (Same as applicant)						
Name and Full Address :		Name and Full A	ddress :			
					_	
Business Registration No. (12 digits) / HKID No./Passport No. :	Fax No. : Tel. No. :	Tel. No. : Fax N		Fax No. :	IX No. :	
Contact Person Name :	Contact Person's Tel. No.: (if different from Tel No. above)	(D) End use of Commodities at (G):				
(C) End-User of Commodities at (G) [See N	Vota (2) 1 •					
Name and Full Address:	01e (2)] •					
Tal No.	Eog No. 4	(F) Ermont Author	owigation of	Foucian Evnous	sing on Droduct's C	Delainating Country (Bloca)
Tel. No. :	Fax No. :	(F) Export Authorisation of Foreign Exporting or Product's Originating Country(Place) / Import Authorisation of Product's Final Destination / Hong Kong Import Licence Number [See Note (5)]:				
(E) Shipment Details		Authorisation Ty	pe and its R	Reference No. :		
Destination [See Note (3)]						
Name :	HS Country/Territory Code :					
Departure Date [See Note (4)]:	Vessel/Flight/Vehicle No. [See Note (4)]:	Issuing Country (Place): Issue Date:				
(G) Commodities [See Note (6)]						
Brand/Maker Name Model/Part No.	Full Product Description (include serial no. if applicable)	Country (Place) of Origin [See Note (7)]	<b>No.</b> (if a	fication Ref. pplicable) Note (8)]	No. of Units	F.O.B. Value (in HK \$) [See Note (9)]
Hong Kong Manufacturer/Processor (Name	e and Address) [See Note (10)] :			Total:		

## (H) Conditions of Licence

- (1) Export licence, if granted by the Director-General of Trade and Industry, will be granted in reliance on the information declared on this application. Provision of false information or making false declaration shall render the licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licence.
- (2) A breach of any of the Conditions of Licence imposed by the Director-General of Trade and Industry may render the licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned.
- (3) The goods covered by the licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons.
- (4) Exporter is required to inform the recipient(s) of the goods of all the conditions pertaining to the licence.
- (5) Exporter should take appropriate precautionary measures to ensure that the goods covered by the licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods exported under the licence are not for civil end-use.
- (6) For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorised officers.
- (7) If the arms and ammunition covered by the licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of the licence is subject to the issue of a valid licence for possession or dealer's licence by the Hong Kong Police Force.

- (8) The original of the licence must be given to the shipping, airline or transportation company for return to Trade and Industry Department with the relevant manifest.
- (9) Exporter must lodge export declarations with the Commissioner of Customs and Excise in respect of items on the licence within 14 days of shipment.
- (10) The licence is issued to the exporter for his use to export goods covered by the licence and is NOT TRANSFERABLE.
- (11) All or any of the information provided in the licence may be disclosed by Trade and Industry Department to third parties either in Hong Kong or elsewhere, provided that such disclosure is in the public interest.
- (12) The Director-General of Trade and Industry reserves the right to impose additional conditions of licence as he sees fit in the public interest. Such additional conditions may be promulgated in circulars and notices issued by Trade and Industry Department.

#### (I) Exporter's Declaration

- (i) I hereby declare that I act on behalf of the exporter of the goods declared on this application, that the particulars given herein are true, and that the goods shall be exported as described.
- (ii) I undertake to take appropriate precautionary measures to ensure that the goods covered by this application will only be used by or transferred to civil end-users for civil end-use.
- (iii) I understand that export licence, if granted, is granted in reliance on the information provided and declared in this application form and is subject to the Conditions of Licence as stated in Part (H) above and any other conditions that the Director-General of Trade and Industry may impose. I have read and hereby undertake to abide by those conditions and any other conditions which the Director-General of Trade and Industry imposes regarding the export of the goods declared on this application.
- (iv) I undertake to inform the recipient(s) of the goods of the Conditions of Licence and other conditions imposed by the Director-General of Trade and Industry regarding the export of the goods declared on this application.
- (v) I understand and agree that all or any of the information provided in this licence application form may be disclosed by the Trade and Industry Department to third parties either in Hong Kong or elsewhere, if such disclosure is necessary to facilitate consideration of the related application, is in the public interest, is authorised or required by the law, or if explicit consent to such disclosure is given by the applicant/data subject.

Signature		Name of Signatory (in block letters)
Date of Signature	Position of Signatory in the Company	Company/Business Chop

### Notes

- (1) This form contains two pages. Applicant is highly recommended to print it into ONE single A4 sheet (i.e. back-to-back printing/copying) for usage and submission. If it is printed into two sheets of paper, applicant should ensure that the two sheets and all supporting documents are stapled or clipped together when submitted. Trade and Industry Department will not accept applications comprising loosely submitted papers.
- (2) Applicant should fill in the end-user information where available. In certain cases in which the specific end-user cannot be identified at the time of licence application, the applicant may declare "not yet available" in the box. Applicants should check with Trade and Industry Department whether such declaration is acceptable in case they have difficulty providing the end-user information. In other cases, an end-user statement completed by the end-user concerned may be required to support the application. If there are more than one end-user, separate sheets should be used and the date, signature, company/business chop and signatory's name should be provided in block letters.
- (3) Applicant should use the appropriate name and code of "Country Territory" as provided in the latest edition of "Hong Kong Imports and Exports Classification List (Harmonized System)".
- (4) If the departure date and/or the vessel, flight or vehicle number cannot be identified at the time of licence application, the applicant may declare "not yet departed" and/or "not yet available" in the relevant boxes.
- (5) The provision of an explicit export authorisation issued by the government(s) of the products' foreign exporting/originating country(place) or an import authorisation issued by the government(s) of products' final destination would facilitate the consideration of the application, particularly if the goods concerned is subject to enhanced surveillance by the relevant country(place) or the relevant international export control regimes. In addition, the goods previously imported into Hong Kong may be subject to re-export control by the government(s) of the products' foreign exporting/originating country(place). If imported goods are to be re-exported from Hong Kong, exporters should check with the manufacturer/foreign exporter of the goods to ensure that there is a valid re-export authorisation issued by the government(s) of the country(place) concerned to cover the subject export. For re-export of certain strategic commodities controlled under Category 3 in Schedule 1 to the Import and Export (Strategic Commodities) Regulations, exporters should provide a valid Hong Kong Import Licence number.
- (6) Any number of items in licensable categories may be entered on this form provided that <u>all are shipped at the same time on the same vessel, aircraft or vehicle.</u>
- (7) Origin of the goods may not necessarily be the manufacturing or exporting country/place. For example, in general, goods will be regarded as of US origin if they are made of US-origin technology or software, irrespective of the country/place of manufacturing.
- (8) Trade and Industry Department provides a pre-classification service to advise traders on the control status of any product. For details, please contact Trade and Industry Department or refer to the relevant circular on the subject.
- (9) F.O.B. value represents the purchase price (or cost if not bought) of the goods to the customer abroad, including all other costs, charges and expenses accruing up to the point where the goods are loaded on board the exporting carrier.
- (10) For locally produced commodities covered by this application, the name and address of the Hong Kong manufacturer or processor must be provided.

#### Important Note:

The data collected in this form will be kept in confidence. They may however be disclosed to other government departments, or to third parties in Hong Kong or elsewhere, if such disclosure is necessary to facilitate consideration of the related application, is in the public interest, is authorised or required by the law; or if explicit consent to such disclosure is given by the applicant/data subject.

For other information concerning the handling of personal data by the Department, please refer to a relevant Note issued by the Department on the subject, copy of which is obtainable from Strategic Trade Controls Branch on 16/F, Trade and Industry Tower, 3 Concorde Road, Kowloon City, Hong Kong.