CATEGORY 9—AEROSPACE AND PROPULSION

(L.N. 254 of 2008)

9A SYSTEMS, EQUIPMENT AND COMPONENTS
(For propulsion systems designed or rated against neutron or transient ionizing radiation, see the Munitions List.)

9A001 Aero gas turbine engines having any of the following:

N.B.: See also 9A101.

(a) Incorporating any of the “technologies” specified by 9E003(a), 9E003(h) or 9E003(i); or (L.N. 161 of 2011; L.N. 42 of 2017)

Notes:
1. 9A001(a) does not control aero gas turbine engines that meet both of the following descriptions:
   (a) Certified by the civil aviation authority or authorities of one or more “Participating States”;
   (b) Intended to power non-military manned “aircraft” for which any of the following has been issued by the civil aviation authority or authorities of one or more “Participating States” for the “aircraft” with this specific engine type:
       (1) A civil Type Certificate;
       (2) An equivalent document recognized by the International Civil Aviation Organization (ICAO).

2. 9A001(a) does not control aero gas turbine engines designed for Auxiliary Power Units (APUs) approved by the civil aviation authority or authorities of one or more “Participating States”. (L.N. 42 of 2017)

(b) Designed to power an “aircraft” designed to cruise at Mach 1 or higher for more than 30 minutes; (L.N. 95 of 2006)
9A002  ‘Marine gas turbine engines’ with an ISO standard continuous power rating of 24 245 kW or more and a specific fuel consumption not exceeding 0.219 kg/kWh in the power range from 35 to 100%, and specially designed assemblies and components therefor; (L.N. 95 of 2006)

Note:
The term ‘marine gas turbine engines’ includes those industrial, or aero-derivative, gas turbine engines adapted for a ship’s electric power generation or propulsion.

9A003  Specially designed assemblies and components, incorporating any of the “technologies” specified by 9E003(a), 9E003(h) or 9E003(i), for any of the following aero gas turbine engines: (L.N. 183 of 1999; L.N. 161 of 2011; L.N. 42 of 2017)

(a) Specified by 9A001; (L.N. 161 of 2011)
(b) Whose design or production origins are either non-“Participating States” or unknown to the manufacturer; (L.N. 42 of 2017)

9A004  Space launch vehicles, “spacecraft”, “spacecraft buses” and other systems or equipment (including terrestrial equipment), as follows:

N.B.:
See also 9A104.

(a) Space launch vehicles;
(b) “Spacecraft”;
(c) “Spacecraft buses”;
(d) “Spacecraft payloads” incorporating the items specified or described in 3A001(b)(1)(a)(4), 3A002(g), 5A001(a)(1), 5A001(b)(3), 5A002(a)(5), 5A002(a)(9), 6A002(a)(1), 6A002(a)(2), 6A002(b), 6A002(d), 6A003(b), 6A004(c), 6A004(e), 6A008(d), 6A008(e), 6A008(k), 6A008(l) or 9A010(c);
(e) On-board systems or equipment, specially designed for “spacecraft” and having any of the following functions:
   (1) ‘Command and telemetry data handling’;

Note:
For the purposes of 9A004(e)(1), ‘command and telemetry data handling’ includes bus data
management, storage and processing.

(2) ‘Payload data handling’;

*Note:*

For the purposes of 9A004(e)(2), ‘payload data handling’ includes payload data management, storage and processing.

(3) ‘Attitude and orbit control’;

*Note:*

For the purposes of 9A004(e)(3), ‘attitude and orbit control’ includes sensing and actuation to determine and control the position and orientation of a “spacecraft”.

*N.B.:*

For equipment specially designed for military use, see the Munitions List.

(f) Terrestrial equipment, specially designed for “spacecraft”, as follows:

(1) Telemetry and telecommand equipment;

(2) Simulators; *(L.N. 42 of 2017)*

9A005 Liquid rocket propulsion systems containing any of the systems or components controlled by 9A006;

*N.B.:*

See also 9A105 and 9A119.

9A006 Systems and components specially designed for liquid rocket propulsion systems, as follows:

*N.B.:*

See also 9A106, 9A108 and 9A120. *(L.N. 42 of 2017)*

(a) Cryogenic refrigerators, lightweight dewars, cryogenic heat pipes or cryogenic systems specially designed for use in space vehicles and capable of restricting cryogenic fluid losses to less than 30% per year;

(b) Cryogenic containers or closed-cycle refrigeration systems capable of providing temperatures of 100 K (-173°C) or less for “aircraft” capable of sustained flight at speeds exceeding Mach 3, launch vehicles or “spacecraft”;

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(c) Slush hydrogen storage or transfer systems;
(d) High pressure (exceeding 17.5 MPa) turbo pumps, pump components or their associated gas generator or expander cycle turbine drive systems;
(e) High-pressure (exceeding 10.6 MPa) thrust chambers and nozzles therefor;
(f) Propellant storage systems using the principle of capillary containment or positive expulsion (i.e., with flexible bladders);
(g) Liquid propellant injectors, with individual orifices of 0.381 mm or smaller in diameter (an area of $1.14 \times 10^{-3} \text{ cm}^2$ or smaller for non-circular orifices) and specially designed for liquid rocket engines; (L.N. 42 of 2017)
(h) One-piece carbon-carbon thrust chambers or one-piece carbon-carbon exit cones with densities exceeding 1.4 g/cm3 and tensile strengths exceeding 48 MPa;

9A007 Solid rocket propulsion systems with any of the following:
N.B.:
See also 9A107 and 9A119. (L.N. 254 of 2008)
(a) Total impulse capacity exceeding 1.1 MNs;
(b) Specific impulse of 2.4 kNs/kg or more when the nozzle flow is expanded to ambient sea level conditions for an adjusted chamber pressure of 7 MPa;
(c) Stage mass fractions exceeding 88% and propellant solid loadings exceeding 86%;
(d) Any of the components controlled by 9A008; or
(e) Insulation and propellant bonding systems using direct-bonded motor designs to provide a 'strong mechanical bond' or a barrier to chemical migration between the solid propellant and case insulation material;

Technical Note:
For the purposes of 9A007(e), a 'strong mechanical bond' means bond strength equal to or more than propellant strength.

9A008 Components, as follows, specially designed for solid rocket propulsion systems:
N.B.: See also 9A108.

(a) Insulation and propellant bonding systems using liners to provide a ‘strong mechanical bond’ or a barrier to chemical migration between the solid propellant and case insulation material;

   Technical Note:
   For the purpose of 9A008(a), a ‘strong mechanical bond’ means bond strength equal to or more than propellant strength.

(b) Filament-wound “composite” motor cases exceeding 0.61 m in diameter or having structural efficiency ratios (PV/W) exceeding 25 km;

   Technical Note:
   The structural efficiency ratio (PV/W) is the burst pressure (P) multiplied by the vessel volume (V) divided by the total pressure vessel weight (W).

(c) Nozzles with thrust levels exceeding 45 kN or nozzle throat erosion rates of less than 0.075 mm/s;

(d) Movable nozzle or secondary fluid injection thrust vector control systems capable of any of the following:
   (1) Omni-axial movement exceeding $\pm 5^\circ$;
   (2) Angular vector rotations of $20^\circ$/s or more; or
   (3) Angular vector accelerations of $40^\circ$/s$^2$ or more;

9A009 Hybrid rocket propulsion systems with:

N.B.: See also 9A109 and 9A119.

(a) Total impulse capacity exceeding 1.1 MNs; or

(b) Thrust levels exceeding 220 kN in vacuum exit conditions;

9A010 Specially designed components, systems and structures for launch vehicles, launch vehicle propulsion systems or “spacecraft”, as follows:

N.B.: See also 1A002 and 9A110.

(a) Components and structures, each exceeding 10 kg and specially designed for launch vehicles, and manufactured
using any of the following: \( (L.N. \ 42 \ of \ 2017) \)

(1) A “composite” material consisting of any of the “fibrous or filamentary materials” specified in 1C010(e) and any resin specified in or controlled by 1C008 or 1C009(b);

(2) A metal “matrix” “composite” reinforced by:
   (a) Any of the materials specified in 1C007;
   (b) Any of the “fibrous or filamentary materials” specified in 1C010; or
   (c) Any of the aluminides specified in 1C002(a);

(3) A ceramic-“matrix” “composite” material specified in 1C007;

Note: The weight cut-off is not relevant for nose cones.

(b) Components and structures, specially designed for any of the launch vehicle propulsion systems specified in 9A005 to 9A009, and manufactured using any of the following: \( (L.N. \ 42 \ of \ 2017) \)

(1) Any of the “fibrous or filamentary materials” specified in 1C010(e) and any resins specified in or controlled by 1C008 or 1C009(b);

(2) A metal “matrix” “composite” reinforced by:
   (a) Any of the materials specified in 1C007;
   (b) Any of the “fibrous or filamentary materials” specified in 1C010; or
   (c) Any of the aluminides specified in 1C002(a);

(3) A ceramic-“matrix” “composite” material specified in 1C007;

(c) Structural components and isolation systems, specially designed to control actively the dynamic response or distortion of “spacecraft” structures;

(d) Pulsed liquid rocket engines with thrust-to-weight ratios equal to or more than 1 kN/kg and a response time (the time required to achieve 90% of total rated thrust from start-up) of less than 30 ms;

\( (L.N. \ 42 \ of \ 2017) \)
9A011 Ramjet, scramjet or combined cycle engines and specially designed components therefor;
N.B.:
See also 9A111 and 9A118.

9A012 “Unmanned aerial vehicles” (“UAVs”), unmanned “airships”, related systems, equipment and components, as follows:
N.B.:
See also 9A112. (L.N. 42 of 2017)
(a) “UAVs” or unmanned “airships”, designed to have controlled flights out of the direct ‘natural vision’ of the ‘operator’ and meeting either of the following descriptions: (L.N. 42 of 2017)
(1) Meeting both of the following requirements:
   (a) Having a maximum ‘endurance’ that is equal to or greater than 30 minutes but less than 1 hour;
   (b) Designed for take-off and having stable controlled flight in wind gusts equal to or exceeding 46.3 km/h (25 knots);
(2) Having a maximum ‘endurance’ of 1 hour or greater;
   Technical Notes:
   For the purposes of 9A012(a):
   (1) ‘Operator’ is a person who initiates or commands a “UAV” or unmanned “airship” flight.
   (2) ‘Endurance’ is to be calculated for ISA conditions (ISO 2533 (1975)) at sea level with zero wind.
   (3) ‘Natural vision’ means unaided human sight, with or without corrective lenses.
(b) Related equipment and components, as follows: (L.N. 42 of 2017)
   (1)-(2) (Repealed L.N. 42 of 2017)
   (3) Equipment or components, specially designed to convert a manned “aircraft” or a manned “airship” to a “UAV” or unmanned “airship”, specified in 9A012(a);
(4) Air breathing reciprocating or rotary internal combustion type engines, specially designed or modified to propel “UAVs” or unmanned “airships”, at altitudes above 15 240 metres (50 000 feet);

Note:
(Repealed L.N. 42 of 2017)
(L.N. 89 of 2013; L.N. 42 of 2017)

9A101 Turbojet and turbofan engines, other than those specified in 9A001, as follows: (L.N. 95 of 2006; L.N. 254 of 2008)
(a) Engines having both of the following characteristics:
   (1) ‘Maximum thrust value’ greater than 400 N (achieved un-installed) excluding civil certified engines with a ‘maximum thrust value’ greater than 8 890 N (achieved un-installed); and (L.N. 65 of 2004)
   (2) Specific fuel consumption of 0.15 kg/N/hr or less (at maximum continuous power at sea level static conditions using the International Civil Aviation Organisation (ICAO) standard atmosphere); or (L.N. 65 of 2004)

Technical Note:
For the purposes of 9A101(a)(1), ‘maximum thrust value’ is the manufacturer’s demonstrated maximum thrust for the engine type un-installed. The civil type certified thrust value will be equal to or less than the manufacturer’s demonstrated maximum thrust for the engine type. (L.N. 42 of 2017)

(b) Engines designed or modified for use in “missiles” or unmanned aerial vehicles specified in 9A012 or 9A112(a); (L.N. 161 of 2011)
   (L.N. 254 of 2008; L.N. 161 of 2011; L.N. 42 of 2017)

9A102 ‘Turboprop engine systems’ specially designed for “unmanned aerial vehicles” specified in 9A012 or 9A112(a), and specially designed components for those systems, having a ‘maximum power’ greater than 10 kW; (L.N. 42 of 2017)

Note:
9A102 does not control civil certified engines. *(L.N. 42 of 2017)*

**Technical Notes: (L.N. 42 of 2017)**

1. For the purposes of 9A102, a ‘turboprop engine system’ incorporates all of the following: *(L.N. 42 of 2017)*
   (a) Turboshaft engine;
   (b) Power transmission system to transfer the power to a propeller.

2. For the purposes of 9A102, ‘maximum power’ is achieved uninstalled at sea level static conditions using the International Civil Aviation Organization (ICAO) standard atmosphere. *(L.N. 42 of 2017)*

   *(L.N. 254 of 2008)*

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**9A104**

Sounding rockets, capable of a range of at least 300 km;

N.B.:

See also 9A004.

**9A105**

Liquid propellant rocket engines, as follows:

N.B.:

See also 9A119.

(a) Liquid propellant rocket engines usable in “missiles”, other than those specified in 9A005, integrated, or designed or modified to be integrated, into a liquid propellant propulsion system that has a total impulse capacity equal to or greater than 1.1 MNs;

(b) Liquid propellant rocket engines, usable in complete rocket systems or “unmanned aerial vehicles”, capable of a range of 300 km, other than those specified in 9A005 or 9A105(a), integrated, or designed or modified to be integrated, into a liquid propellant propulsion system that has a total impulse capacity equal to or greater than 0.841 MNs; *(L.N. 132 of 2001; L.N. 95 of 2006)*

   *(L.N. 42 of 2017)*

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**9A106**

Systems or components, other than those controlled by 9A006, as follows, specially designed for liquid rocket propulsion systems: *(L.N. 254 of 2008)*

(a) Ablative liners for thrust or combustion chambers, usable
in “missiles”, space launch vehicles specified in 9A004 or sounding rockets specified in 9A104;

(b) Rocket nozzles, usable in “missiles”, space launch vehicles specified in 9A004 or sounding rockets specified in 9A104;

(c) Thrust vector control sub-systems, usable in “missiles”;

Technical Note:
Examples of methods of achieving thrust vector control controlled by 9A106(c) are:

(1) Flexible nozzle;
(2) Fluid or secondary gas injection;
(3) Movable engine or nozzle;
(4) Deflection of exhaust gas stream (jet vanes or probes); or
(5) Thrust tabs.

(d) Liquid, slurry and gel propellant (including oxidizers) control systems, and specially designed components therefor, usable in “missiles”, designed or modified to operate in vibration environments greater than 10 g rms between 20 Hz and 2 kHz; (L.N. 65 of 2004; L.N. 95 of 2006; L.N. 42 of 2017)

Note:
The only servo valves, pumps and gas turbines controlled by 9A106(d) are the following: (L.N. 42 of 2017)

(a) Servo valves designed for flow rates equal to or greater than 24 litres per minute, at an absolute pressure equal to or greater than 7 MPa, that have an actuator response time of less than 100 ms; (L.N. 95 of 2006)

(b) Pumps, for liquid propellants, with shaft speeds equal to or greater than 8000 rpm at the maximum operating mode, or with discharge pressures equal to or greater than 7 MPa; (L.N. 42 of 2017)

(c) Gas turbines, for liquid propellant turbo pumps, with shaft speeds equal to or greater than 8 000 rpm at the maximum operating mode; (L.N. 42 of 2017)

(e) Combustion chambers and nozzles, usable in “missiles”,
space launch vehicles controlled by 9A004 or sounding rockets specified in 9A104; *(L.N. 42 of 2017)*
*(L.N. 226 of 2009)*

9A107 Solid propellant rocket engines, usable in complete rocket systems or “unmanned aerial vehicles”, capable of a range of at least 300 km, other than those controlled by 9A007, having total impulse capacity equal to or greater than $8.41 \times 10^5$ Ns; *(L.N. 183 of 1999; L.N. 95 of 2006; L.N. 42 of 2017)*

*N.B.*: See also 9A119.

9A108 Components, other than those specified in 9A008, as follows, specially designed for solid rocket propulsion systems: *(L.N. 89 of 2013)*

(a) Rocket motor cases and “insulation” components for the rocket motor cases, usable in “missiles”, space launch vehicles specified in 9A004 or sounding rockets specified in 9A104;

(b) Rocket nozzles, usable in “missiles”, space launch vehicles specified in 9A004 or sounding rockets specified in 9A104;

(c) Thrust vector control subsystem, usable in “missiles”; *(L.N. 89 of 2013)*

*Technical Note:* Examples of methods of achieving thrust vector control controlled by 9A108(c) are:

1. Flexible nozzle;
2. Fluid or secondary gas injection;
3. Movable engine or nozzle;
4. Deflection of exhaust gas stream (jet vanes or probes); or
5. Thrust tabs.

9A109 Hybrid rocket motors and specially designed components as follows:

(a) Hybrid rocket motors usable in complete rocket systems or “unmanned aerial vehicles”, capable of 300 km, other
than those specified in 9A009, having a total impulse capacity equal to or greater than 0.841 MNs, and specially designed components for the hybrid rocket motors;

(b) Specially designed components for hybrid rocket motors specified in 9A009 that are usable in “missiles”;

N.B.:
See also 9A009 and 9A119.

(L.N. 89 of 2013)

9A110 Composite structures, laminates and manufactures other than those specified in 9A010, specially designed for use in ‘missiles’ or the subsystem specified in 9A005, 9A007, 9A105, 9A106(c), 9A107, 9A108(c), 9A116 or 9A119;

N.B.:
See also 1A002.

Technical Note:
In 9A110, ‘missiles’ means complete rocket systems and “unmanned aerial vehicle” systems capable of a range exceeding 300 km.

(L.N. 89 of 2013)

9A111 Pulse jet engines, usable in “missiles” or “unmanned aerial vehicles” specified in 9A012 or 9A112(a), and specially designed components therefor; (L.N. 254 of 2008; L.N. 42 of 2017)

N.B.:
See also 9A011 and 9A118.

9A112 “Unmanned aerial vehicles” (“UAVs”), other than those specified in 9A012, as follows:

(a) “UAVs” capable of a range of 300 km;

(b) “UAVs” that meet both of the following descriptions:

(1) Having either of the following:

(a) An autonomous flight control and navigation capability;

(b) A capability of controlled flight out of the direct visual range involving a human operator;
(2) Meeting either of the following descriptions:

(a) Incorporating an aerosol dispensing system or mechanism with a capacity greater than 20 litres;

(b) Designed or modified to incorporate an aerosol dispensing system or mechanism with a capacity greater than 20 litres;

Technical Notes:

1. An aerosol consists of particulate or liquids, other than fuel components, by-products or additives, as part of the payload to be dispersed into the atmosphere. Examples of aerosols include pesticides for crop dusting and dry chemicals for cloud seeding.

2. An aerosol dispensing system or mechanism contains all those devices (mechanical, electrical, hydraulic, etc.) that are necessary for the storage of an aerosol and its dispersion into the atmosphere. The dispersion may be effected by aerosol injection into the combustion exhaust vapour and the propeller slip stream.

(L.N. 42 of 2017)

9A115 Launch support equipment as follows:

(a) Apparatus and devices for handling, control, activation or launching, designed or modified for space launch vehicles specified in 9A004, sounding rockets specified in 9A104 or “unmanned aerial vehicles” specified in 9A012 or 9A112(a); (L.N. 89 of 2013; L.N. 42 of 2017)

(b) Vehicles for transport, handling, control, activation or launching, designed or modified for space launch vehicles specified in 9A004 or sounding rockets specified in 9A104; (L.N. 89 of 2013)

(L.N. 65 of 2004; L.N. 95 of 2006)

9A116 9A116 Reentry vehicles, usable in “missiles”, and equipment designed or modified therefor, as follows:

(a) Reentry vehicles;

(b) Heat shields and components therefor fabricated of ceramic or ablative materials;
(c) Heat sinks and components therefor fabricated of lightweight, high heat capacity materials;
(d) Electronic equipment specially designed for reentry vehicles;

9A117 Staging mechanisms, separation mechanisms, and interstages, usable in “missiles”;
N.B.: See also 9A121. (L.N. 42 of 2017)

9A118 Devices to regulate combustion usable in engines that meet both of the following descriptions: (L.N. 42 of 2017)
(a) specified in 9A011 or 9A111;
(b) usable in “missiles” or “unmanned aerial vehicles” controlled by 9A012 or 9A112(a);
   (L.N. 254 of 2008; L.N. 42 of 2017)

9A119 Individual rocket stages, usable in complete rocket systems or “unmanned aerial vehicles”, capable of a range of at least 300 km, other than those controlled by 9A005, 9A007, 9A009, 9A105, 9A107 and 9A109;
   (L.N. 183 of 1999; L.N. 42 of 2017)

9A120 Liquid propellant tanks, other than those specified in 9A006, specially designed for propellants specified in 1C111 or ‘other liquid propellants’, used in rocket systems capable of delivering at least a 500 kg payload to a range of at least 300 km;
   Note: In 9A120, ‘other liquid propellants’ includes, but is not limited to, propellants specified in the Munitions List.
   (L.N. 254 of 2008)

9A121 Umbilical and ‘interstage electrical connectors’ specially designed for “missiles”, space launch vehicles controlled by 9A004 or sounding rockets specified in 9A104;
   Technical Note: ‘Interstage electrical connectors’ also include electrical connectors installed between the “missile”, space launch vehicle or sounding
rocket and their payload.

(L.N. 42 of 2017)

9A350 Spraying or fogging systems, specially designed or modified for fitting to “aircraft”, “lighter-than-air vehicles” or “unmanned aerial vehicles” controlled by 9A012, and specially designed components therefor, as follows:

(a) Complete spraying or fogging systems capable of delivering, from a liquid suspension, an initial droplet the ‘VMD’ of which is less than 50 microns at a flow rate of greater than two litres per minute;

(b) Spray booms or arrays of ‘aerosol generating units’ capable of delivering, from a liquid suspension, an initial droplet the ‘VMD’ of which is less than 50 microns at a flow rate of greater than two litres per minute;

(c) ‘Aerosol generating units’ specially designed for fitting to systems controlled by 9A350(a) and 9A350(b);

Notes:
1. ‘Aerosol generating units’ are devices specially designed or modified for fitting to “aircraft” such as nozzles, rotary drum atomizers and similar devices.
2. 9A350 does not control spraying or fogging systems and components that are demonstrated not to be capable of delivering biological agents in the form of infectious aerosols.

Technical Notes:
1. Droplet size for spray equipment or nozzles specially designed for use on “aircraft”, “lighter-than-air vehicles” or “unmanned aerial vehicles” controlled by 9A012 should be measured using either of the following:
   (a) Doppler laser method;
   (b) Forward laser diffraction method.
2. In 9A350, ‘VMD’ means Volume Median Diameter and, for water-based system, this equates to Mass Median Diameter (MMD).

(L.N. 95 of 2006)
9B001  Equipment, tooling or fixtures, specially designed for manufacturing gas turbine blades, vanes or “tip shroud” castings, as follows: (*L.N. 132 of 2001; L.N. 161 of 2011; L.N. 42 of 2017*)

(a) Directional solidification or single crystal casting equipment;

(b) Cores or shells (moulds), manufactured from refractory metals or ceramics, and specially designed for casting; (*L.N. 42 of 2017*)

(c) Directional-solidification or single-crystal additive-manufacturing equipment; (*L.N. 42 of 2017*)

(d) (*Repealed L.N. 132 of 2001*)

9B002  On-line (real time) control systems, instrumentation (including sensors) or automated data acquisition and processing equipment, having all of the following:

(a) Specially designed for the “development” of gas turbine engines, assemblies or components; and

(b) Incorporating “technology” specified by 9E003(h) or 9E003(i); (*L.N. 161 of 2011*)

9B003  Equipment specially designed for the “production” or test of gas turbine brush seals designed to operate at tip speeds exceeding 335 m/s, and temperatures in excess of 773 K (500°C), and specially designed components or accessories therefor;

9B004  Tools, dies or fixtures for the solid state joining of “superalloy”, titanium or intermetallic airfoil-to-disk combinations described in 9E003(a)(3) or 9E003(a)(6) for gas turbines;

9B005  On-line (real time) control systems, instrumentation (including sensors) or automated data acquisition and processing equipment, specially designed for use with any of the following wind tunnels or devices:

*N.B.*: See also 9B105.

(a) Wind tunnels designed for speeds of Mach 1.2 or more;
except:
Those specially designed for educational purposes and having a test section size (measured laterally) of less than 250 mm;

Technical Note:
Test section size in 9B005(a) means the diameter of the circle, or the side of a square, or the longest side of the rectangle, at the largest test section location.

(b) Devices for simulating flow-environments at speeds exceeding Mach 5, including hot-shot tunnels, plasma arc tunnels, shock tubes, shock tunnels, gas tunnels and light gas guns; or

(c) Wind tunnels or devices, other than two-dimensional sections, capable of simulating Reynolds number flows exceeding $25 \times 10^6$;

9B006 Acoustic vibration test equipment capable of producing sound pressure levels of 160 dB or more (referenced to 20 $\mu$Pa) with a rated output of 4 kW or more at a test cell temperature exceeding 1 273K (1 000°C), and specially designed quartz heaters therefor;

N.B.: See also 9B106.

9B007 Equipment specially designed for inspecting the integrity of rocket motors using non-destructive test (NDT) techniques other than planar X-ray or basic physical or chemical analysis;

9B008 Direct measurement wall skin friction transducers specially designed to operate at a test flow total (stagnation) temperature exceeding 833 K (560°C);

(\textit{L.N. 161 of 2011})

9B009 Tooling specially designed for producing turbine engine powder metallurgy rotor components capable of operating at stress levels of 60% of ultimate tensile strength (UTS) or more and metal temperatures of 873 K (600°C) or more;

9B010 Equipment specially designed for the production of the items
specified in 9A012;

(L.N. 95 of 2006; L.N. 42 of 2017)

9B105  ‘Aerodynamic test facilities’ for speeds of Mach 0.9 or more, usable for ‘missiles’ and their sub-systems; (L.N. 254 of 2008; L.N. 42 of 2017)

N.B.: See also 9B005.

Note:

9B105 does not control wind tunnels for speeds of Mach 3 or less with dimension of the ‘test cross section size’ equal to or less than 250 mm. (L.N. 42 of 2017)

Technical Notes:

1. In 9B105, ‘aerodynamic test facilities’ include wind tunnels and shock tunnels for the study of airflow over objects.

2. In 9B105, ‘missiles’ means complete rocket systems and unmanned aerial vehicle systems capable of a range exceeding 300 km.

3. In the Note to 9B105, ‘test cross section size’ means the diameter of the circle, or the side of the square, or the longest side of the rectangle, or the major axis of the ellipse at the largest ‘test cross section’ location. ‘Test cross section’ is the section perpendicular to the flow direction. (L.N. 42 of 2017)

9B106  Environmental chambers and anechoic chambers, as follows:

(a) Environmental chambers capable of simulating the following flight conditions:

(1) Having any of the following:

(a) Altitude equal to or greater than 15 km;
(b) Temperature range from below 223 K (-50°C) to above 398 K (+125°C); and

(2) Incorporating, or ‘designed or modified’ to incorporate, a shaker unit or other vibration test equipment to produce vibration environments equal to or greater than 10 g rms, measured ‘bare table’, between 20 Hz and 2 kHz while imparting
forces equal to or greater than 5 kN; (L.N. 42 of 2017)

Technical Notes:

1. 9B106(a)(2) describes systems that are capable of generating a vibration environment with a single wave (e.g. a sine wave) and systems capable of generating a broadband random vibration (i.e. power spectrum). (L.N. 42 of 2017)

2. In 9B106(a)(2), ‘designed or modified’ means the environmental chamber provides appropriate interfaces (e.g. sealing devices) to incorporate a shaker unit or other vibration test equipment as specified in 2B116. (L.N. 254 of 2008; L.N. 42 of 2017)

3. In 9B106(a)(2), ‘bare table’ means a flat table, or surface, with no fixture or fittings. (L.N. 42 of 2017)

(b) Environmental chambers capable of simulating the following flight conditions: (L.N. 95 of 2006)

1. Acoustic environments at an overall sound pressure level of 140 dB or greater (referenced to 20 μPa) or with a total rated acoustic power output of 4 kW or greater; and (L.N. 95 of 2006; L.N. 42 of 2017)

2. Altitudes equal to or greater than 15 km; or (L.N. 95 of 2006)

3. Temperature range from below 223 K (-50°C) to above 398 K (+125°C); (L.N. 254 of 2008)

Note:
(Repealed L.N. 42 of 2017)

9B115 Specially designed “production equipment” for the systems, sub-systems and components controlled by 9A005 to 9A009, 9A011, 9A101, 9A102, 9A105 to 9A109, 9A111, 9A116 to 9A120;
(L.N. 254 of 2008)

9B116 Specially designed “production facilities” for the space launch vehicles specified in 9A004, or systems, subsystems, or components specified in 9A005 to 9A009, 9A011, 9A101, 9A102,
9A104 to 9A109, 9A111 or 9A116 to 9A120, or ‘missiles’;

*Technical Note:*
In 9B116, ‘missiles’ means complete rocket systems and “unmanned aerial vehicle” systems capable of a range exceeding 300 km.

*(L.N. 89 of 2013)*

9B117 Test benches and test stands for solid or liquid propellant rockets or rocket motors, having any of the following characteristics: *(L.N. 89 of 2013)*

(a) The capacity to handle more than 68 kN of thrust;
(b) Capable of simultaneously measuring the three axial thrust components;

*(L.N. 254 of 2008; L.N. 89 of 2013)*

9C MATERIALS *(L.N. 132 of 2001)*

9C108 “Insulation” material in bulk form and “interior lining”, other than those specified in 9A008, for rocket motor cases usable in ‘missiles’ or specially designed for ‘missiles’;

*Technical Note:*
In 9C108, ‘missiles’ means complete rocket systems and “unmanned aerial vehicle” systems capable of a range exceeding 300 km.

*(L.N. 89 of 2013)*

9C110 Resin impregnated fibre prepregs and metal coated fibre preforms therefor, for composite structures, laminates and manufactures controlled by 9A110, made either with organic “matrix” or metal “matrix” utilizing fibrous or filamentary reinforcements having a “specific tensile strength” greater than $7.62 \times 10^4$ m and a “specific modulus” greater than $3.18 \times 106$ m; *(L.N. 95 of 2006)*

*N.B.:* See also 1C010 and 1C210.

*Note:*
The only resin impregnated fibre prepregs controlled by 9C110 are those using resins with a glass transition temperature ($T_g$), after cure, exceeding 418 K (145°C) as determined by ASTM D4065 or
equivalent. *(L.N. 132 of 2001; L.N. 95 of 2006)*

**9D SOFTWARE**

**9D001** “Software” specially designed or modified for the “development” of equipment or “technology” controlled by 9A, 9B or 9E003;

*(L.N. 132 of 2001)*

**9D002** “Software” specially designed or modified for the “production” of equipment controlled by 9A or 9B;

*(L.N. 132 of 2001)*

**9D003** “Software” incorporating “technology” specified by 9E003(h) and used in “FADEC Systems” for systems specified by 9A or equipment specified by 9B;

*(L.N. 161 of 2011; L.N. 42 of 2017)*

**9D004** Other “software”, as follows:

(a) 2D or 3D viscous “software” validated with wind tunnel or flight test data required for detailed engine flow modelling;

(b) “Software” for testing aero gas turbine engines, assemblies or components, specially designed to collect, reduce and analyse data in real time, and capable of feedback control, including the dynamic adjustment of test articles or test conditions, as the test is in progress;

(c) “Software” specially designed to control directional solidification or single crystal material growth in the equipment specified in 9B001(a) or 9B001(c); *(L.N. 42 of 2017)*

(d) *(Repealed L.N. 89 of 2013)*

(e) “Software” specially designed or modified for the operation of the items specified in 9A012; *(L.N. 95 of 2006; L.N. 89 of 2013; L.N. 42 of 2017)*

(f) “Software” specially designed to design the internal cooling passages of aero gas turbine engine blades, vanes and “tip-shrouds”; *(L.N. 254 of 2008; L.N. 161 of 2011)*

(g) “Software” having all of the following characteristics:
(1) Specially designed to predict aero thermal, aeromechanical and combustion conditions in aero gas turbine engines;

(2) Theoretical modelling predictions of the aero thermal, aeromechanical and combustion conditions, which have been validated with actual aero gas turbine engine (experimental or production) performance data; *(L.N. 254 of 2008)*

9D005 “Software” specially designed or modified for the operation of the items specified in 9A004(e) or 9A004(f); *(L.N. 42 of 2017)*

9D101 “Software” specially designed for the “use” of goods controlled by 9B105, 9B106, 9B116 or 9B117;

9D103 “Software” specially designed for modelling, simulation or design integration of the space launch vehicles specified in 9A004 or sounding rockets specified in 9A104, or the subsystems or “missiles” specified in 9A005, 9A007, 9A105, 9A106(c), 9A107, 9A108(c), 9A116 or 9A119, as appropriate;

*Note:*

“Software” specified in 9D103 remains controlled when combined with specially designed hardware controlled by 4A102. *(L.N. 89 of 2013; L.N. 42 of 2017)*

9D104 “Software” specially designed or modified for the “use” of goods controlled by 9A001, 9A005, 9A006(d), 9A006(g), 9A007(a), 9A008(d), 9A009(a), 9A010(d), 9A011, 9A101, 9A102, 9A105, 9A106(c), 9A106(d), 9A107, 9A108(c), 9A109, 9A111, 9A115(a), 9A116(d), 9A117 or 9A118; *(L.N. 132 of 2001; L.N. 95 of 2006; L.N. 254 of 2008)*

9D105 “Software” (other than that specified in 9D004(e)) which coordinates the function of more than one subsystem, specially designed or modified for “use” in space launch vehicles specified in 9A004 or sounding rockets specified in 9A104 or ‘missiles’; *(L.N. 42 of 2017)*

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Technical Note:
In 9D105, ‘missiles’ means complete rocket systems and unmanned aerial vehicle systems capable of a range exceeding 300 km. (L.N. 42 of 2017)
(L.N. 132 of 2001; L.N. 95 of 2006; L.N. 89 of 2013)

9E TECHNOLOGY

Note:
"Development" or “production” “technology” specified in 9E001, 9E002 and 9E003 for gas turbine engines remains controlled when used for repair or overhaul. Excluded from control are: technical data, drawings or documentation for maintenance activities directly associated with calibration, removal or replacement of damaged or unserviceable line replaceable units, including replacement of whole engines or engine modules. (L.N. 89 of 2013; L.N. 42 of 2017)

9E001 “Technology” according to the General Technology Note for the “development” of equipment or “software” specified in 9A001(b), 9A004 to 9A012, 9A350, 9B or 9D;
(L.N. 95 of 2006; L.N. 254 of 2008)

9E002 “Technology” according to the General Technology Note for the “production” of equipment specified in 9A001(b), 9A004 to 9A011, 9A350 or 9B; (L.N. 254 of 2008)
N.B.:
For “technology” for the repair of controlled structures, laminates or materials, see 1E002(f). (L.N. 132 of 2001)
(L.N. 89 of 2013)

9E003 Other “technology”, as follows:
(a) “Technology” “required” for the “development” or “production” of any of the following gas turbine engine components or systems:
(1) Gas turbine blades, vanes or “tip shrouds” made from directionally solidified (DS) or single crystal (SC) alloys having (in the 001 Miller Index Direction) a stress-rupture life exceeding 400 hours at 1 273 K (1 000°C) at a stress of 200 MPa, based on the
average property values; *(L.N. 161 of 2011)*

(2) Combustors having any of the following:

(a) Thermally decoupled liners designed to operate at ‘combustor exit temperature’ exceeding 1 883 K (1 610°C);

(b) Non-metallic liners;

(c) Non-metallic shells;

(d) Liners designed to operate at ‘combustor exit temperature’ exceeding 1 883 K (1 610°C) and having holes that meet the parameters specified in 9E003(c);

*Note:* The “required” “technology” for holes in 9E003(a)(2) is limited to the derivation of the geometry and location of the holes.

*Technical Note:*

‘Combustor exit temperature’ is the bulk average gas path total (stagnation) temperature between the combustor exit plane and the leading edge of the turbine inlet guide vane (i.e., measured at engine station T40 as defined in SAE ARP 755A) when the engine is running in a ‘steady state mode’ of operation at the certificated maximum continuous operating temperature.

*N.B.:* See 9E003(c) for “technology” “required” for manufacturing cooling holes. *(L.N. 89 of 2013)*

(3) Components that are: *(L.N. 42 of 2017)*

(a) Manufactured from any organic “composite” material designed to operate at a temperature above 588 K (315°C);

(b) Manufactured from either of the following:

(1) A metal “matrix” “composite” reinforced by:

(a) Any of the materials specified in 1C007;

(b) Any of the “fibrous or filamentary materials”
specified in 1C010; or
(c) Any of the aluminides specified in 1C002(a);

(2) A ceramic-“matrix” “composite” material specified in 1C007; or
(c) Stators, vanes, blades, tip seals (shrouds), rotating blings, rotating blisks or ‘splitter ducts’, and that meet all of the following descriptions:
   (1) Not specified in 9E003(a)(3)(a);
   (2) Designed for compressors or fans;
   (3) Manufactured from any of the materials specified in 1C010(e) that has a resin specified in 1C008;
   (L.N. 42 of 2017)

Technical Note:
A ‘splitter duct’ performs the initial separation of the air-mass flow between the bypass and the core sections of an engine. (L.N. 42 of 2017)

(4) Uncooled turbine blades, vanes or “tip-shrouds”, designed to operate at a ‘gas path temperature’ of 1 373 K (1 100°C) or above; (L.N. 254 of 2008; L.N. 161 of 2011; L.N. 42 of 2017)

(5) Cooled turbine blades, vanes, “tip shrouds” other than those described in 9E003(a)(1), designed to operate at a ‘gas path temperature’ of 1 693 K (1 420°C) or more; (L.N. 254 of 2008; L.N. 161 of 2011; L.N. 42 of 2017)

Technical Notes:
1. ‘Gas path temperature’ is the bulk average gas path total (stagnation) temperature at the leading edge plane of the turbine component when the engine is running in a ‘steady state mode’ of operation at the certificated or specified maximum continuous operating temperature.
2. The term ‘steady state mode’ defines
engine operation conditions, where the engine parameters, such as thrust or power, rpm and others, have no appreciable fluctuations, when the ambient air temperature and pressure at the engine inlet are constant. *(L.N. 42 of 2017)*

(6) Airfoil-to-disk blade combinations using solid state joining;

(7) Gas turbine engine components using “diffusion bonding” “technology” controlled by 2E003(b);

(8) ‘Damage tolerant’ gas turbine engine rotor components using powder metallurgy materials specified by 1C002(b);

*Technical Note:*
‘Damage tolerant’ components are designed using methodology and substantiation to predict and limit crack growth. *(L.N. 161 of 2011)*

(9) *(Repealed L.N. 161 of 2011)*

(10) *(Repealed L.N. 161 of 2011)*

*Notes:*

1. Adjustable flow path geometry and associated control systems in 9E003(a)(10) do not include inlet guide vanes, variable pitch fans, variable stators or bleed valves for compressors.

2. 9E003(a)(10) does not control “development” or “production” “technology” for adjustable flow path geometry for reverse thrust.

(11) Hollow fan blades; *(L.N. 95 of 2006)*

*Technical Note:*
The term ‘steady state mode’ defines engine operation conditions, where the engine parameters, such as thrust/power, rpm and others, have no appreciable fluctuations, when the ambient air temperature and pressure at the engine inlet are constant. *(L.N. 254 of 2008)*
(b) “Technology” “required” for the “development” or “production” of any of the following:

(1) Wind tunnel aero-models equipped with non-intrusive sensors capable of transmitting data from the sensors to the data acquisition system; or

(2) “Composite” propeller blades or propfans capable of absorbing more than 2000 kW at flight speeds exceeding Mach 0.55;

(c) “Technology” “required” for manufacturing cooling holes, in gas turbine engine components incorporating any of the “technologies” specified in 9E003(a)(1), 9E003(a)(2) or 9E003(a)(5), and having any of the following:

(1) Having all of the following:
   (a) Minimum ‘cross-sectional area’ less than 0.45 mm\(^2\);
   (b) ‘Hole shape ratio’ greater than 4.52;
   (c) ‘Incidence angle’ equal to or less than 25°;

(2) Having all of the following:
   (a) Minimum ‘cross-sectional area’ less than 0.12 mm\(^2\);
   (b) ‘Hole shape ratio’ greater than 5.65;
   (c) ‘Incidence angle’ more than 25°;

*Note:* 9E003(c) does not apply to “technology” for manufacturing constant radius cylindrical holes that are straight through and enter and exit on the external surfaces of the component.

*Technical Notes:*

1. For the purposes of 9E003(c), the ‘cross-sectional area’ is the area of the hole in the plane perpendicular to the hole axis.

2. For the purposes of 9E003(c), ‘hole shape ratio’ is the nominal length of the axis of the hole divided by the square root of its minimum ‘cross-sectional area’.

3. For the purposes of 9E003(c), ‘incidence angle’ is the acute angle measured between the plane tangential to the aerofoil surface and the hole axis.
at the point where the hole axis enters the aerofoil surface.

4. Techniques for manufacturing holes in 9E003(c) include “laser”, water jet, Electro-Chemical Machining (ECM) or Electrical Discharge Machining (EDM) methods. (L.N. 89 of 2013)

(d) “Technology” “required” for the “development” or “production” of helicopter power transfer systems or tilt rotor or tilt wing “aircraft” power transfer systems; (L.N. 132 of 2001)

(e) “Technology” for the “development” or “production” of reciprocating diesel engine ground vehicle propulsion systems having all of the following:
   (1) A box volume of 1.2 m³ or less;
   (2) An overall power output of more than 750 kW based on 80/1269/EEC, ISO 2534 or national equivalents; and
   (3) A power density of more than 700 kW/m³ of box volume;

   Technical Note: Box volume: The product of three perpendicular dimensions is measured in the following way:
   Length: The length of the crankshaft from front flange to flywheel face;
   Width: The widest of the following:
   (a) The outside dimension from valve cover to valve cover;
   (b) The dimensions of the outside edges of the cylinder heads; or
   (c) The diameter of the flywheel housing;
   Height: The largest of the following:
   (a) The dimension of the crankshaft centre-line to the top plane of the valve cover (or cylinder head) plus twice the stroke; or
   (b) The diameter of the flywheel housing. (L.N. 65 of 2004)

(f) “Technology” “required” for the “production” of specially designed components, as follows, for high output diesel
engines:

(1) “Technology” “required” for the “production” of engine systems having all of the following components employing ceramics materials controlled by 1C007:
(a) Cylinder liners;
(b) Pistons;
(c) Cylinder heads; and
(d) One or more other components (including exhaust ports, turbochargers, valve guides, valve assemblies or insulated fuel injectors);

(2) “Technology” “required” for the “production” of turbocharger systems, with single-stage compressors having all of the following:
(a) Operating at pressure ratios of 4:1 or higher;
(b) A mass flow in the range from 30 to 130 kg per minute; and
(c) Variable flow area capability within the compressor or turbine sections;

(3) “Technology” “required” for the “production” of fuel injection systems with a specially designed multifuel (e.g., diesel or jet fuel) capability covering a viscosity range from diesel fuel (2.5 cSt at 310.8 K (37.8°C)) down to gasoline fuel (0.5 cSt at 310.8 K (37.8°C)), having both of the following:
(a) Injection amount in excess of 230 mm$^3$ per injection per cylinder; and
(b) Specially designed electronic control features for switching governor characteristics automatically depending on fuel property to provide the same torque characteristics by using the appropriate sensors; (L.N. 65 of 2004)

(g) “Technology” “required” for the “development” or “production” of high output diesel engines for solid, gas phase or liquid film (or combinations thereof) cylinder wall
lubrication, permitting operation to temperatures exceeding 723 K (450°C), measured on the cylinder wall at the top limit of travel of the top ring of the piston;

Technical Note:
High output diesel engines: diesel engines with a specified brake mean effective pressure of 1.8 MPa or more at a speed of 2 300 rpm, provided the rated speed is 2 300 rpm or more. (L.N. 65 of 2004)

(h) “Technology” for gas turbine engine “FADEC Systems” as follows:

(1) “Development” “technology” for deriving the functional requirements for the components necessary for the “FADEC Systems” to regulate engine thrust or shaft power (e.g. feedback sensor time constants and accuracies, fuel valve slew rate);

(2) “Development” or “production” “technology” for control and diagnostic components unique to the “FADEC Systems” and used to regulate engine thrust or shaft power;

(3) “Development” “technology” for the control law algorithms, including “source code”, unique to the “FADEC Systems” and used to regulate engine thrust or shaft power;

Note:
9E003(h) does not apply to technical data related to engine-aircraft integration required by the civil aviation authority or authorities of one or more “Participating States” to be published for general airline use (e.g. installation manuals, operating instructions, instructions for continued airworthiness) or interface functions (e.g. input/output processing, airframe thrust or shaft power demand). (L.N. 161 of 2011; L.N. 42 of 2017)

(i) “Technology” for adjustable flow path systems designed to maintain engine stability for gas generator turbines, fan or power turbines, or propelling nozzles, as follows:

(1) “Development” “technology” for deriving the functional requirements for the components that
maintain engine stability;

(2) “Development” or “production” “technology” for components unique to the adjustable flow path system and that maintain engine stability;

(3) “Development” “technology” for the control law algorithms, including “source code”, unique to the adjustable flow path system and that maintain engine stability;

Note:
9E003(i) does not apply to “development” or “production” “technology” for any of the following:
(a) Inlet guide vanes;
(b) Variable pitch fans or prop-fans;
(c) Variable compressor vanes;
(d) Compressor bleed valves;
(e) Adjustable flow path geometry for reverse thrust.
(L.N. 161 of 2011)

(j) “Technology” “required” for the “development” of wing-folding systems designed for fixed wing aircraft powered by gas turbine engines;
N.B.:
See also the Munitions List. (L.N. 42 of 2017)

9E101
(a) “Technology” according to the General Technology Note for the “development” of goods specified in 9A101, 9A102, 9A104 to 9A111, 9A112(a) or 9A115 to 9A121;

(b) “Technology” according to the General Technology Note for the “production” of ‘UAV’ specified in 9A012 or goods specified in 9A101, 9A102, 9A104 to 9A111, 9A112(a) or 9A115 to 9A121;

Technical Note:
In 9E101(b), ‘UAV’ means unmanned aerial vehicle systems capable of a range exceeding 300 km.
(L.N. 226 of 2009; L.N. 42 of 2017)

9E102
“Technology” according to the General Technology Note for the “use” of space launch vehicles specified in 9A004, goods specified in 9A005 to 9A011, ‘UAV’s specified in 9A012 or goods specified in
9A101, 9A102, 9A104 to 9A111, 9A112(a), 9A115 to 9A121, 9B105, 9B106, 9B115, 9B116, 9B117, 9D101 or 9D103; (L.N. 226 of 2009)

Technical Note:
In 9E102, ‘UAV’ means unmanned aerial vehicle systems capable of a range exceeding 300 km. (L.N. 226 of 2009)

(L.N. 183 of 1999; L.N. 95 of 2006; L.N. 254 of 2008; L.N. 42 of 2017)