


**PRE-CLASSIFICATION APPLICATION FORM [See Note (1)]**

 <b>THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION</b> Import and Export Ordinance, (Cap. 60) Import and Export (Strategic Commodities) Regulations		<b>Receipt. No. :</b>	
<b>(A) APPLICANT</b>			
<b>Name and Full Address :</b>		<b>Business Registration No. (12 digits)/HKID No./Passport No. :</b>  <b>Tel No. :</b>  <b>Fax No. :</b>	
<b>Contact Person's Name :</b>		<b>Contact Tel No. (if different from the above):</b>	
<b>(B) COMMODITY [See Note (2)]</b>			
<b>Brand/Maker Name</b>	<b>Model/Part No.</b>	<b>Product Description [See Note(3)]</b>	<b>Country (Place) of Origin [See Note(4)]</b>
<b>(C) END USE OF THE COMMODITIES AT (B), IF APPLICABLE</b>			
<b>(D) CONDITIONS FOR THE USE OF PRE-CLASSIFICATION RESULT AND/OR PRE-CLASSIFICATION REFERENCE NUMBER</b>			
(1) The Director-General of Trade and Industry may, after assessing this application, grant the applicant a Pre-Classification Result and/or assign a Pre-Classification Reference Number to the commodity in Part (B) above. The Result and/or the Pre-Classification Reference Number, if granted and/or assigned, will be granted and/or assigned in reliance on the information provided and declared in this application. Provision of false information or making false declaration in this application shall render the Pre-Classification Result and/or the Pre-Classification Reference Number null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of Pre-Classification Result and/or Pre-Classification Reference Number and use of forged or altered Pre-Classification Result and/or Pre-Classification Reference Number.			
(2) Pre-Classification Reference Number will only be assigned if the commodity in Part (B) is classified as a strategic commodity and hence required to be covered by licences issued by the Director-General of Trade and Industry for import/export. Quoting the Pre-Classification Reference Number on licence applications may help expedite the processing time of such applications by Trade and Industry Department. There is however no necessary implication that licence applications quoted with Pre-Classification Reference Number will be granted as a matter of course.			
(3) Pre-Classification Result, if granted, does not exempt the applicant from the need to obtain licences as required under Section 6A of the Import and Export Ordinance (Cap. 60) if the commodity in Part (B) is classified as a strategic commodity. The applicant should submit licence application and obtain a licence before effecting the related import or export shipment.			
(4) When using this Pre-Classification Result and/or quoting the Pre-Classification Reference Number on licence applications, the applicant should ensure that the goods under shipment and/or covered by the licence applications are the same commodity (i.e. of the same brand name, model number, technical features/specification etc.) that has been pre-classified in this Pre-Classification Result and/or assigned with the Pre-Classification Reference Number. Any misuse of the Pre-Classification Result and/or Pre-Classification Reference Numbers, or misrepresentation of information on licence applications constitutes a serious offence under the Import and Export Ordinance (Cap. 60), and may render the applicant to legal and/or administrative action, and suspension/revocation of licensing facilities and the facilitation granted in relation to Pre-Classification Service. If there is any change of technical specifications on a previously Pre-Classified product, a new Pre-Classification request or licence should be submitted.			
(5) A breach of any of the Conditions for the Use of Pre-Classification Result and/or Pre-Classification Reference Number imposed by the Director-General of Trade and Industry may render the relevant Pre-Classification Result and/or Pre-Classification Reference Number to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned.			
(6) This Pre-Classification Result and/or Pre-Classification Reference Number is issued and/or provided to the applicant to facilitate the import/export of goods covered by this application.			
(7) All or any of the information provided in this application may be disclosed by Trade and Industry Department to third parties either in Hong Kong or elsewhere, provided that such disclosure is in the public interest.			

(8) The Director-General of Trade and Industry reserves the right to impose additional Conditions for the Use of Pre-Classification Result and/or Pre-Classification Reference Number as he sees fit in the public interest. Such additional conditions may be promulgated in circulars and notices issued by Trade and Industry Department.

**(E) APPLICANT'S DECLARATION**

- (1) I hereby declare that I act on behalf of the company declared in Part (A) of this application, that the particulars given herein are true, and that the goods shall be imported/exported as described.
- (2) I understand that the Pre-Classification Result and/or the Pre-Classification Reference Number, if granted and/or assigned, will be granted and/or assigned in reliance on the information provided and declared in this application and is subject to the Conditions as stated in Part (D) above and any other conditions that the Director-General of Trade and Industry may impose. I have read and hereby undertake to abide by those conditions and any other conditions which the Director-General of Trade and Industry imposes with regard to the goods as declared on this application.
- (3) I fully understand that if the commodity at Part (B) is classified as strategic commodities, I need to apply for an import and/or export licence separately from the Director-General of Trade and Industry for importing/exporting the commodity to/from Hong Kong.
- (4) I understand and agree that all or any of the information provided in this pre-classification application form may be disclosed by the Trade and Industry Department to third parties either in Hong Kong or elsewhere, if such disclosure is necessary to facilitate consideration of the related application, is in the public interest, is authorised or required by the law, or if explicit consent to such disclosure is given by the applicant/data subject.

**Special Declaration(s):**

<hr/> <b>Signature</b>	<hr/> <b>Name of Signatory (in block letters)</b>	
<hr/> <b>Date of Signature</b>	<hr/> <b>Position of Signatory in the Company</b>	<hr/> <b>Company/Business Chop</b>

Notes

- (1) Please use one form for **one product only**. This form contains two pages. Applicant is highly recommended to print it into ONE single A4 sheet (i.e. back-to-back printing/copying) for usage and submission. If it is printed into two sheets of paper, applicant should ensure that the two sheets and all supporting documents are stapled or clipped together when submitted. Trade and Industry Department will not accept applications comprising loosely submitted papers.
- (2) Please submit this form together with details of technical specifications/data and technical questionnaire (if the product is a machine tool, equipment/software with cryptographic function) of the product to be classified. Please note that these documents will be kept by Trade and Industry Department for future reference and will not be returned to the applicant.
- (3) Please include serial number of commodity if applicable. In certain cases, some parameters are not given or shown clearly in the technical specifications/data sheets. To enable Trade and Industry Department assess your application, please declare the parameters that are applicable to your commodities.
- (4) Origin of the goods may not necessarily be the manufacturing or exporting country/place. For example, in general, goods will be regarded as of US origin if they are made of US-origin technology or software, irrespective of the country/place of manufacturing.
- (5) The application form is not a substitute for an import/export licence. You must submit a licence application separately before import and export if the goods are classified as strategic commodities.

Important Note: The data collected in this form will be kept in confidence. They may however be disclosed to other government departments, or to third parties in Hong Kong or elsewhere, if such disclosure is necessary to facilitate consideration of the related application, is in the public interests, is authorised or required by the law; or if explicit consent to such disclosure is given by the applicant/data subject.

For other information concerning the handling of personal data by the Department, please refer to a relevant Note issued by the Department on the subject, copy of which is obtainable from Strategic Trade Controls Branch on 16/F, Trade and Industry Tower, 3 Concorde Road, Kowloon City, Hong Kong.