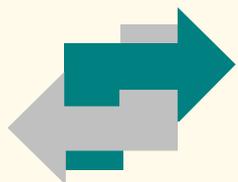


香港戰略貿易管制制度 – 要義及全面管制



工業貿易署

2019年9月25日

概要

- ① 香港戰略貿易管制制度背景
- ② 法律框架
- ③ 簽證安排
- ④ 一般兩用物品的管制情況
- ⑤ 簽證規定
- ⑥ 全面管制

香港戰略貿易管制制度 背景



目標及承諾

目標

- 防止香港成為武器擴散的管道
- 確保可持續取得高科技，以維持香港作為商貿、金融、銀行業、物流及電訊業地區中心的角色

承諾

- 香港遵行戰略物品管制的國際標準

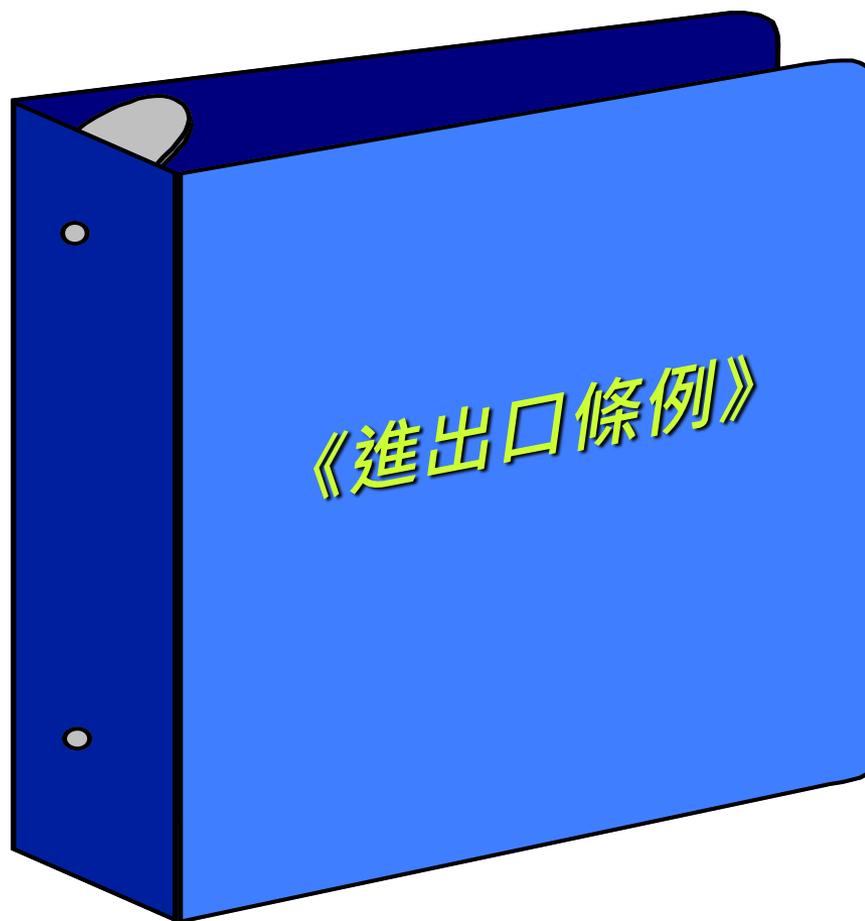
四大支柱

- 嚴格的法例
- 全面的簽證管制
- 嚴厲執法
- 緊密的國際 / 雙邊合作

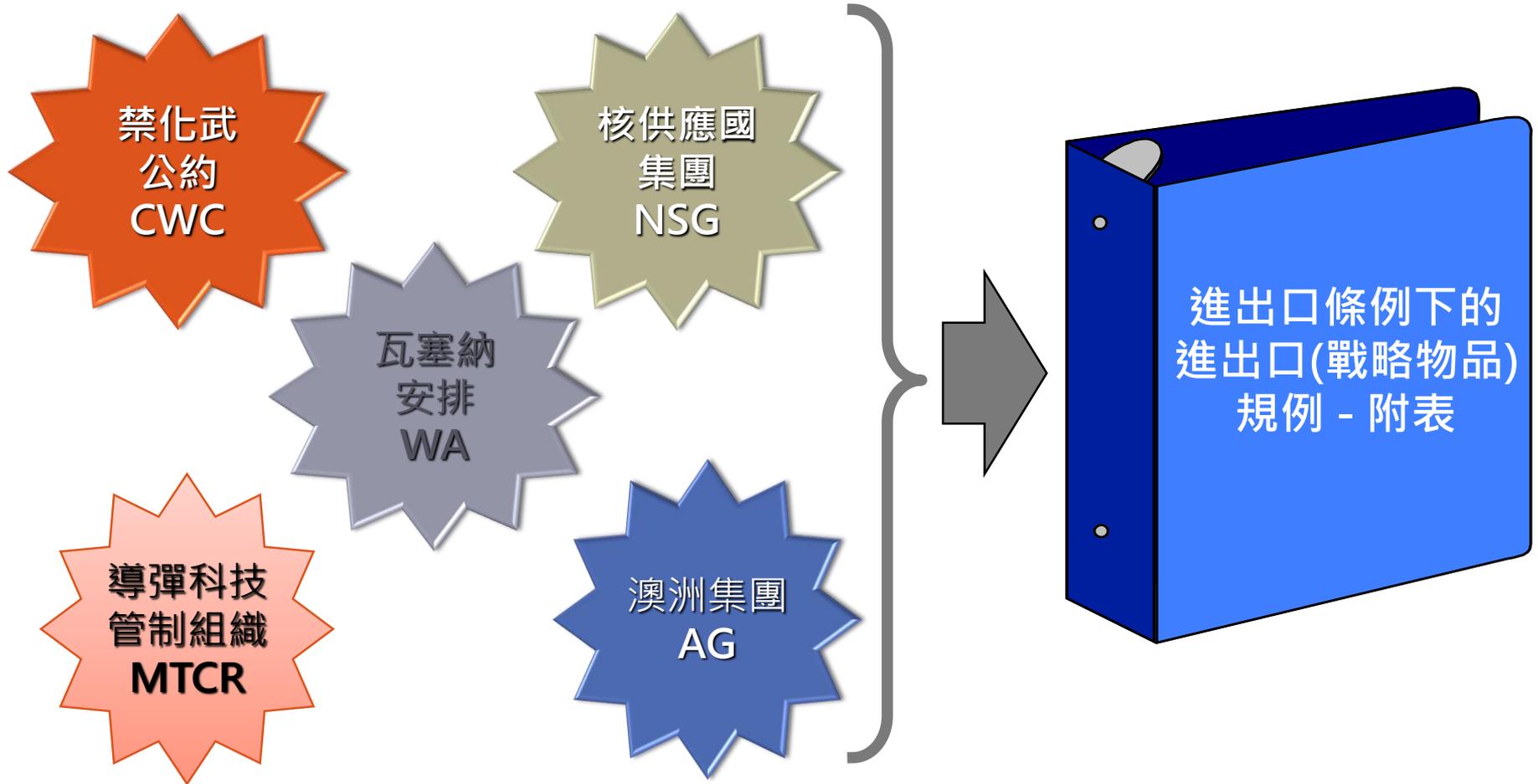
法律框架

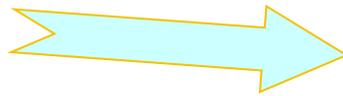
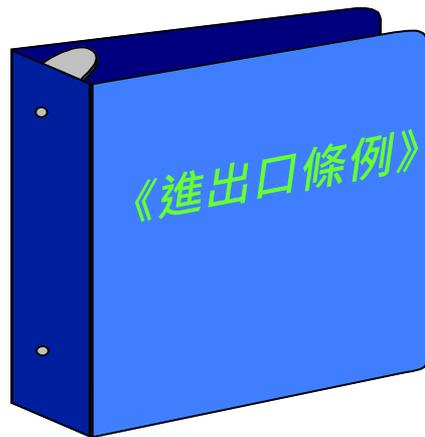


管制的法律基礎



戰略物品管制清單





附表 1:

軍需物品及兩用物品管制清單

附表 2:

過境物品的管制清單

附表 3 及 4:

對已知或懷疑將會用作化學、生物或核子武器等用途的物品實施最終用途管制

兩用物品清單

- 類目 0 - 核子物料、設施及裝備
- 類目 1 - 特別物料及相關裝備
- 類目 2 - 物料加工程序
- 類目 3 - 電子
- 類目 4 - 電腦
- 類目 5 (第 1 部) - 電訊
- 類目 5 (第 2 部) - 資訊安全
- 類目 6 - 感測器與雷射器
- 類目 7 - 導航及航空電子
- 類目 8 - 海事
- 類目 9 - 航天及推進

簽證安排



簽發許可證－ 嚴格和全面的規定

出口

進口

過境物品

轉運貨物

以付運前及託運為本的全面簽證制度

過境物品

- 純粹為帶離香港而被帶進香港的物品及一直留在將其帶進香港的船隻或飛機之內或之上的物品
- 通常不受進出口許可證管制
- 但載於進出口（戰略物品）規例附表2的物品則除外

轉運貨物

- 憑全程提單或全程空運提單從某個香港以外地方托運往另一個香港以外地方的；並且是已經或將會移離運載其進口的船隻、飛機或車輛
- 須領備進口和出口證

承運商的法律責任

- 須從入口商取得有效入口證，方可發貨給收貨人
- 從出口商取得有效出口證才可以付運貨物出口
- 進出口許可證 + 艙單送交工業貿易署

簽發許可證 – 不削弱原則

- 不削弱我們貿易伙伴實施的管制制度
- 除非獲得來源國授權，否則不得轉口有關貨品
- 防範未獲授權的轉運

怎樣知道有關貨品 是否受簽證管制?



一般兩用物品的管制情況

香港戰略物品管制

主頁

最新消息

戰略貿易管制制度

許可證申請須知

許可證的使用條件及相關文件

查詢產品是否受管制

聯合國禁運措施

主要貿易伙伴的管制要求

業界的內部遵守法例計劃

下載表格

研討會

小冊子、通告及刊物

常見問題

相關網頁

免費電郵通知

電子服務

首頁 > 查詢產品是否受管制 > 一般兩用物品的管制情況

一般兩用物品的管制情況

查詢貨品的管制情況

注意:請在檢索空格內鍵入有關的英文關鍵字,然後按尋找鍵。

商標名稱

型號

產品說明

符合檢索條件

以上所有條件 任何以上條件

尋找

重選

重要事項:

- 即將顯示的搜尋結果並非詳盡無遺,僅涵蓋已獲工業貿易署評估的產品。
- 有關管制參數/標準的詳情,請參閱[戰略物品管制清單](#)。
- 此外,商號亦可使用[預先分類服務](#),以取得特定產品管制情況的評定。
- 不論搜尋結果所顯示的管制情況為何,任何產品如擬用於或相當可能用於與核子、生化武器或可發射這些武器的導彈有關的活動,均受進出口許可證規定所管制。有關詳情,請參閱[最終用途管制](#)。

有關被本署評估過的部份物品清單及其管制情況,請[按此](#)下載。

有關一般不受管制的產品,詳情請[按此](#)。

預先分類服務

目的

- 確定付運貨品是否受香港戰略貿易管制制度所規管。

所需文件

- 產品技術規格、資料頁或小冊子/商品目錄等。
- 品牌擁有人填寫的技術問卷（如屬電訊產品等）。

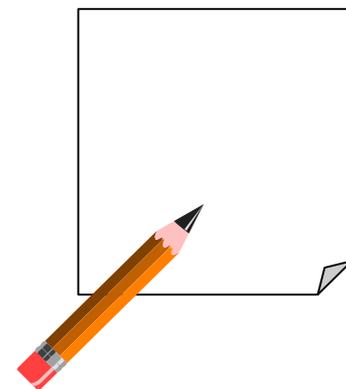
簽證規定



簽證規定

一般簽證規定

- 付運資料
- 最終用途及最終用戶
- 分類用的資料



簽證規定

視乎個別情況

- 技術來源國簽發的有效出口許可證。如出口國與技術來源國有所不同，則需提供外地出口國簽發的有效出口許可證
- 外地出口許可證申請人（即貨品供應商）的確認信
- 最終用戶聲明書



簽證規定

香港特別行政區政府
戰略物品管制制度網站

GovHK 香港政府一站通 简体版 ENGLISH

- 香港戰略物品管制
- 主頁
- 最新消息
- 戰略貿易管制制度
- 許可證申請須知
- 許可證的使用條件及相關文件
- 查詢產品是否受管制
- 聯合國禁運措施
- 主要貿易伙伴的管制要求
- 業界的內部遵守法例計劃
- 下載表格
- 小冊子、通告及刊物
- 常見問題
- 相關網頁
- 免費電郵通知

電子服務

承運商查詢
已批簽的許可證

10 years +
同心滙關情
caring organisation
Awarded by The Hong Kong Council of Social Service
香港社會服務界協會

首頁 > 許可證申請須知 所需文件

🔍 再搜尋

在一般的情況下，我們會要求以下的文件：

技術資料

1. 有關產品的技術規格

外國/外地政府的出口許可證

2. 由 **美國** 政府所發出的出口許可證或授權書清楚表明有關的產品已獲批准出口往香港

為配合貨品供應地方的出口管制措施，我們通常會要求申請人出示原出口地方當局發出的明確出口授權書，用以支持進/出口申請。不過，有關貨品若根據許可證豁免/一般許可證安排從原出口地方付運，因而沒有明確的出口授權書，則上述的文件規定或因應情況而得到豁免。

其他文件

3. 最終用戶聲明書

以上資料只供參考之用，本署有可能會就個別情況要求不同的支持文件。

🔍 再搜尋

[< 上一頁](#)

[^ 返回頁首](#)

許可證申請表格

IMPORT LICENCE APPLICATION FORM (Strategic Commodities) [See Note (1)]

 THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION Import and Export Ordinance, (Cap. 60) Import and Export (Strategic Commodities) Regulations		Receipt No. :	
(A) Importer (Same as applicant) Name and Full Address :		(B) Foreign Exporter Name and Full Address :	
Business Registration No. (12 digits) / HKID No./Passport No. :	Fax No. : Tel. No. :	Tel. No. :	Fax No. :
Contact Person's Name :	Contact Person's Tel. No. : (if different from Tel. No. above)	(D) End use of Commodities at (G) : <input type="checkbox"/> Tick as appropriate End use:	
(C) End-User of Commodities at (G) [See Note (2)] : Name and Full Address :		Goods are for <input type="checkbox"/> (a) local consumption <input type="checkbox"/> (b) re-export to _____	
Tel. No. : Fax No. :			
(E) Shipment Details Exporting Country/Place [See Note (3)] Name :		(F) Export Authorisation of Foreign Exporting or Product's Originating Country(Place) / Import Authorisation of Product's Final Destination : [See Note (5)] Authorisation Type and its Reference No. :	
Arrival Date : [See Note (4)]		Vessel/Flight/Vehicle No. : [See Note (4)]	
IS Country/Territory Code :		Issuing Country (Place) : Issue Date :	
(G) Commodities [See Note (6)]			
Brand/Maker Name	Model/Part No.	Full Product Description (include serial no. if applicable)	C.I.F. Value (in HK \$) [See Note (7)]
Country (Place) of Origin	Pre-classification Ref. No. (if applicable) [See Note (6)]	No. of Units	
Total:			
(H) Conditions of Licence			
(1) Import licence, if granted by the Director-General of Trade and Industry, will be granted in reliance on the information declared on this application. Provision of false information or making false declaration shall render the licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licences. (2) A breach of any of the Conditions of Licence imposed by the Director-General of Trade and Industry may render the licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned. (3) The goods covered by the licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons. (4) In case the goods covered by the licence is not for the importer's own use but is to be further transferred, the importer should ensure that the recipients of the goods are duly informed of all the conditions of the licence. (5) Importer should take appropriate precautionary measures to ensure that the goods covered by the licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods imported under the licence are not for civil end-use. (6) The licence only authorises import of the goods. Re-export must be covered by an export licence issued by the Director-General of Trade and Industry if the goods fall under the control of the Import and Export (Strategic Commodities) Regulations at the time of export. (7) For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorised officers. (8) If the arms and ammunition covered by the licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of the licence is subject to the issue of a valid			

EXPORT LICENCE APPLICATION FORM (Strategic Commodities) [See Note (1)]

 THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION Import and Export Ordinance, (Cap. 60) Import and Export (Strategic Commodities) Regulations		Receipt No. :				
(A) Exporter (Same as applicant) Name and Full Address :		(B) Consignee Name and Full Address :				
Business Registration No. (12 digits) / HKID No./Passport No. :	Fax No. : Tel. No. :	Tel. No. :	Fax No. :			
Contact Person's Name :	Contact Person's Tel. No. : (if different from Tel. No. above)	(D) End use of Commodities at (G) :				
(C) End-User of Commodities at (G) [See Note (2)] : Name and Full Address :		Goods are for <input type="checkbox"/> (a) local consumption <input type="checkbox"/> (b) re-export to _____				
Tel. No. : Fax No. :						
(E) Shipment Details Destination [See Note (3)] Name :		(F) Export Authorisation of Foreign Exporting or Product's Originating Country(Place) / Import Authorisation of Product's Final Destination [See Note (5)] : Authorisation Type and its Reference No. :				
Departure Date [See Note (4)] :		Vessel/Flight/Vehicle No. [See Note (4)] :				
IS Country/Territory Code :		Issuing Country (Place) : Issue Date :				
(G) Commodities [See Note (6)]						
Brand/Maker Name	Model/Part No.	Full Product Description (include serial no. if applicable)	Country (Place) of Origin	Pre-classification Ref. No. (if applicable) [See Note (7)]	No. of Units	F.O.B. Value (in HK \$) [See Note (8)]
Total:						
Hong Kong Manufacturer/Processor (Name and Address) [See Note (9)] :						
(H) Conditions of Licence						
(1) Export licence, if granted by the Director-General of Trade and Industry, will be granted in reliance on the information declared on this application. Provision of false information or making false declaration shall render the licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licences. (2) A breach of any of the Conditions of Licence imposed by the Director-General of Trade and Industry may render the licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned. (3) The goods covered by the licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons. (4) Exporter is required to inform the recipient(s) of the goods of all the conditions pertaining to the licence. (5) Exporter should take appropriate precautionary measures to ensure that the goods covered by the licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods exported under the licence are not for civil end-use. (6) For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorised officers. (7) If the arms and ammunition covered by the licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of the licence is subject to the issue of a valid licence for possession or dealer's licence by the Hong Kong Police Force.						

遞交方法

紙張申請

- 把申請表的正本連同所需文件親身送交戰略貿易管制科客戶服務中心。

電子申請

- 透過電子帳戶填寫及遞交進出口許可證申請。

許可證的條件

ORIGINAL (CARRIER'S COPY)

THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION
Import and Export Ordinance, (Cap. 60)
Import and Export (Strategic Commodities) Regulations

IMPORT LICENCE (STRATEGIC COMMODITIES)

Application Receipt No. : 03899999	Date of Issue : 01-MAR-2004
Licence No. : IL87654321	Valid from 01-MAR-2004 to 01-SEP-2004

This licence authorises the licensee to effect import shipment(s) as described below. This licence is granted in reliance on the information provided and declared by the licensee on the application under the stated Application Reference No. and is subject to the conditions stated in Part (H) on this licence, as well as any other applicable provisions in the Import and Export Ordinance (Cap. 60) and the Import and Export (Strategic Commodities) Regulations made under it.

(A) IMPORTER (same as licensee)

Name and Address : BCD CO LTD ROOM 901, 267-275 DES VOEUX ROAD HONG KONG ISLAND, HONG KONG SPECIAL ADMINISTRATIVE REGION	Business Registration No. : 12345678-000 Tel No. : (852)-23456790 Fax No. : (852)-23456789
--	---

(B) FOREIGN EXPORTER

Name and Address : XYZ CO LTD 1238 8TH STREET, WASHINGTON DC20036, UNITED STATES	Tel No. : (1)-202-7654321 Fax No. : (1)-202-7654322
---	--

(C) END-USER

(1) Name and Address : CDE TELEPHONE COMPANY 1 CHANG SHANG ROAD, BEIJING, THE MAINLAND OF CHINA	Tel No. : (86)-10-66665555 Fax No. : (86)-10-66665553
--	--

(D) END USE OF THE COMMODITIES AT (G)

For End-user (1): to enhance the current pay-by-phone billing system

Goods are for re-export to: THE MAINLAND OF CHINA

(E) SHIPMENT DETAILS

Exporting Country (Place) : UNITED STATES	
Arrival Date : 15-MAR-2004	Vessel/Flight/ Vehicle No. : BY AIR WT382
Marks and Nos. / Container No. : XYZ / C/No.1343	No. and Kind of Packages : 1 CARTON

**(F) EXPORT AUTHORISATION OF FOREIGN EXPORTING or PRODUCT'S ORIGINATING COUNTRY (PLACE) /
IMPORT AUTHORISATION OF DESTINATION**

Authorisation Type and its Reference No. : Export Licence No. D123456	
Issuing Country (Place) : UNITED STATES	Issue Date : 04-MAY-2003

Import Licence No. : IL87654321

Page 1 of 3

(G) COMMODITIES

Description	Country (Place) of Origin	Pre- Classification Ref. No.	Quantity	F.O.B. Value (HK\$)
1. XYZ A1234A XYZA PROCESSOR BOARD	UNITED STATES	38888	1 set(s)	\$800,000
2. XYZ A234B XYZA INTEGRATED CIRCUITS	UNITED STATES	38887	1 set(s)	\$850,000
Total:			2 set(s)	\$1,650,000

(H) CONDITIONS OF LICENCE

- This licence is granted in reliance on the information provided and declared by the licensee on the application under the stated Application Reference No. Provision of false information or making false declaration in the said application shall render this licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licence.
- A breach of any of the conditions of licence imposed by the Director-General of Trade and Industry may render this licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned.
- The goods covered by this licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons.
- In case the goods covered by this licence is not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons, the importer should ensure that the recipients of the goods are duly informed of all the conditions of the licence.
- The importer should take appropriate precautionary measures to ensure that the goods covered by this licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods covered by this licence are not for civil end-use.
- This licence only authorises import of the goods. Re-export must be covered by an export licence issued by the Director-General of Trade and Industry if the goods fall under the control of the Import and Export (Strategic Commodities) Regulations at the time of export.
- For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorized officers.
- If the area and warehouse covered by this licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of this licence is subject to the issue of a valid licence for possession or dealer's licence by the Hong Kong Police Force.
- This licence allows part shipments. In case of part shipments, the importer must provide correct shipment arrival details on this licence and must not take delivery of the goods until such information has been endorsed by the carrier. Written declaration (TD 352) should also be completed and passed to the carrier. When the balance of the consignment covered by the licence is imported, the original of the licence must be given to the shipping, airline or transportation company.
- The original of this licence shall be the only valid copy against which the carrier may release the goods described herein to the importer on arrival in Hong Kong unless special authority to permit release of such goods against a certified true copy granted by the Director-General of Trade and Industry or an officer authorized by him. The carrier should check details given by the importer and return the licence or written declaration in the case of part shipments to Trade and Industry Department together with the relevant manifest.
- The importer must lodge import declarations with the Commissioner of Customs and Excise in respect of items on this licence within 14 days of shipment.
- This licence is issued to the importer for his use to import goods covered by this licence and is NOT TRANSFERABLE.
- All or any of the information in this licence may be disclosed by Trade and Industry Department to third parties either in Hong Kong or elsewhere provided that such disclosure is in the public interest.
- The Director-General of Trade and Industry reserves the right to impose additional conditions of licence as he sees fit in the public interest. Additional conditions may be promulgated in circulars and notices issued by Trade and Industry Department.

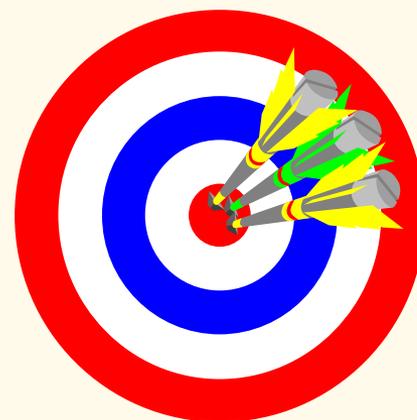
Issued by :
X Y CHAN
 (X Y CHAN)
 for Director-General of Trade and Industry

***** End of Licence *****

許可證的有效期

- 進口許可證的有效期為六個月，而出口許可證則為三個月。
- 在進口證有效期內貨品可以部份付運。然而，根據法例，這項安排並不適用於出口戰略物品。
- 如獲批的許可證在有效期內沒有付運，我們鼓勵商號向我們申請取消。

全面管制



全面管制

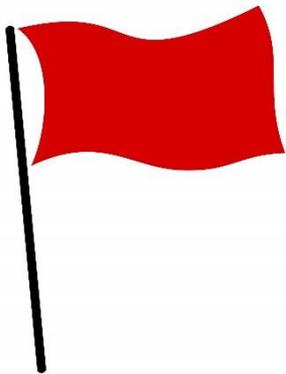
- 對大規模毀滅武器實施最終用途 / 全面管制
- 對已知或懷疑將會用作與核子、化學或生物武器及相關活動有關的貨品及設備實施管制
- 進出口必須取得許可證

了解客戶、最終用戶 及最終用途

- 客戶及最終用戶審查
- 最終用途審查
- 交易審查
- 目的地審查

紅旗警號

- 買方不願提供貨品最終用途的資料
- 買方對有關物品似乎並無合法需要
- 買方要求採用迂迴或不合商業邏輯的付運路線
- 買方對產品、其應用及性能並不熟悉



掃描QR code
前往通告第 2/2019 號
(網頁版)



戰略物品管制制度網站

- 許可證申請須知
- 查核許可證審批狀況
- 產品管制清單及管制狀況
- 相關規例及服務的最新通告
- 貿易制裁的資訊
- 下載表格

www.stc.tid.gov.hk



簽證查詢：
2398 5575

分類查詢：
2398 5587



電郵：
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