

# 香港戰略貿易管制制度



香港特別行政區政府  
工業貿易署  
2024年5月



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# 香港戰略貿易管制制度 背景



# 戰略貿易管制制度

一國兩制

單獨關稅區

自主貿易體

自行管理的戰  
略貿易管制制  
度

## 目標

- 防止香港成為武器擴散的管道
- 確保可持續取得高科技，以維持香港作為商貿、金融、銀行業、物流及電訊業地區中心的角色

## 承諾

- 香港遵行戰略物品管制的國際標準



# 法律框架



# 法律框架

## 管制的法律基礎

- 《進出口條例》  
(香港法例第60章)
- 《進出口(戰略物品)規例》  
(香港法例第60G章)

香港的管制物品清單緊貼各國國際公約及出口管制制度，包括：

禁化武公約  
(CWC)

導彈科技管制組織  
(MTCR)

核供應國集團  
(NSG)

澳洲集團  
(AG)

瓦塞納安排  
(WA)

武器貿易條約  
(ATT)

# 《進出口(戰略物品)規例》 (香港法例第60G章)

**附表 1**  
(軍需物品及兩用物品管制清單)

軍需物品清單

兩用物品清單

**附表 2**  
(過境物品的管制清單)

「較敏感」物品  
(例如: 特定的軍需品、與核子相關物料的設施和設備)

**附表 3**  
(最終用途管制)

對已知或懷疑將會用作化學、生物或核子武器等用途的物品實施最終用途管制

**附表 4**  
(最終用途管制)

與附表3所列物品的相關行為

# 《進出口(戰略物品)規例》 (香港法例第60G章)

**附表 1**  
(軍需物品及兩用物品管制  
清單)

軍需物品清單

兩用物品清單



類目	物品/科技
0	核子物料、設施及裝備
1	特別物料及相關裝備
2	物料加工程序
3	電子
4	電腦
5	(第1部) 電訊 (第2部) 資訊安全
6	感測器及鐳射器
7	導航及航空電子
8	海事
9	航天及推進

# 簽證安排



# 簽發許可證 – 嚴格和全面的規定



# 轉運貨物



- 憑全程提單或全程空運提單從某個香港以外地方托運往另一個香港以外地方的；並且是已經或將會移離運載其進口的船隻、飛機或車輛
- 須領備進口和出口證

# 過境物品



- 純粹為帶離香港而被帶進香港的物品及一直留在將其帶進香港的船隻或飛機之內或之上的物品
- 通常不受進出口許可證管制
- 但載於進出口（戰略物品）規例附表2的物品則除外

# 承運商的法律責任



- 須從入口商取得有效入口證，方可發貨給收貨人
- 從出口商取得有效出口證才可以付運貨物出口
- 進出口許可證 + 艙單送交工業貿易署

# 簽發許可證原則

## 不削弱原則

- 不削弱我們貿易伙伴實施的管制制度
- 除非獲得出口地及來源地授權，否則不得轉口有關貨品
- 防範未獲授權的轉運

## 全面管制

- 對大規模毀滅武器實施最終用途/全面管制
- 對已知或懷疑將會用作與核子、化學或生物武器及相關活動有關的貨品及設備實施管制



# 怎樣知道有關貨品 是否受簽證管制？



# 一般兩用物品的管制情況

香港戰略物品管制

主頁

最新消息

戰略貿易管制制度

許可證申請須知

許可證的使用條件及相關文件

查詢產品是否受管制

聯合國禁運措施

主要貿易伙伴的管制要求

業界的內部遵守法例計劃

下載表格

研討會

小冊子、通告及刊物

常見問題

相關網頁

免費電郵通知

電子服務

首頁 > 查詢產品是否受管制 > 一般兩用物品的管制情況

## 一般兩用物品的管制情況

### 查詢貨品的管制情況

注意: 請在檢索空格內鍵入有關的英文關鍵字, 然後按尋找鍵。

商標名稱

型號

產品說明

符合檢索條件  以上所有條件  任何以上條件

### 重要事項:

- 即將顯示的搜尋結果並非詳盡無遺, 僅涵蓋已獲工業貿易署評估的產品。
- 有關管制參數/標準的詳情, 請參閱 [戰略物品管制清單](#)。
- 此外, 商號亦可使用 [預先分類服務](#), 以取得特定產品管制情況的評定。
- 不論搜尋結果所顯示的管制情況為何, 任何產品如擬用於或相當可能用於與核子、生化武器或可發射這些武器的導彈有關的活動, 均受進出口許可證規定所管制。有關詳情, 請參閱 [最終用途管制](#)。

有關被本署評估過的部份物品清單及其管制情況, 請 [按此](#) 下載。

有關一般不受管制的產品, 詳情請 [按此](#)。

# 一般兩用物品的管制情況

步驟一：於搜索空格內鍵入物品的商標名稱/型號/產品說明，然後按尋找鍵

查詢貨品的管制情況

注意: 請在檢索空格內鍵入有關的英文關鍵字，然後按尋找鍵。

商標名稱

型號

產品說明

符合檢索條件  以上所有條件  任何以上條件

輸入產品資料

步驟二：檢視物品的管制狀況

商標名稱	型號	產品說明	管制類別
	ENCRYPTION SERVICE PIC	IPSEC SERVICE CARE FOR USE IN JUNIPER NETWORKS M-T SERIES ROUTERS (CRYPTO DISABLED)	無需領值 簽證
	ERX WITH JUNOS-E SOFTWARE	NETWORK ROUTER OPERATING SYSTEM WITH SSH IPSEC AND JUNOS-X FOR ERX	5D002
	G1-EU-AC	G1 CMTS-2X8 DOCSIS W/AC (DOCSIS 1.1)	5D002

無需申領進口 / 出口許可證

需申領進口 / 出口許可證

# 預先分類服務

## 目的

- 確定付運貨品是否受香港戰略貿易管制制度所規管。

## 所需文件

- 產品技術規格、資料頁或小冊子/商品目錄等。
- 品牌擁有人填寫的技術問卷（如屬電訊產品等）。



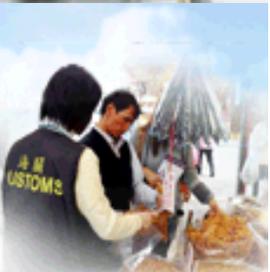
# 簽證規定



# 簽證規定

## 一般簽證規定

- 付運資料
- 最終用途及最終用戶
- 分類用的資料



# 簽證規定

## 視乎個別情況

- 技術來源地簽發的有效出口許可證。  
如出口地與技術來源地有所不同，  
則需提供外地出口方當局簽發的有效出口許可證
- 外地出口許可證申請人（即貨品供應商）的確認信
- 最終用戶聲明書



# 簽證規定

## 首頁 > 許可證申請須知 所需文件

🔍 再搜尋

在一般的情況下，我們會要求以下的文件：

### 技術資料

1. 有關產品的技術規格

### 外國/外地政府的出口許可證

2. 由 [美國](#) 政府所發出的出口許可證或授權書清楚表明有關的產品已獲批准出口往香港

為配合貨品供應地方的出口管制措施，我們通常會要求申請人出示原出口地方當局發出的明確出口授權書，用以支持進／出口申請。不過，有關貨品若根據許可證豁免／一般許可證安排從原出口地方付運，因而沒有明確的出口授權書，則上述的文件規定或可因應情況而得到豁免。

### 其他文件

3. [最終用戶聲明書](#)

以上資料只供參考之用，本署有可能會就個別情況要求不同的支持文件。

🔍 再搜尋

[< 上一頁](#)

香港特別行政區政府  
戰略物品管制制度網站

GovHK 香港政府一站通 简体版 ENGLISH

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➔ 電子服務

➔ 承運商查詢  
已批發的許可證

10 years+ 同心與關懷  
caring organisation  
Awarded for The Hong Kong Council of Social Service

## 首頁 > 許可證申請須知 所需文件

### 所需文件

產品類別  
類目 5 (第1部) - 電訊

產品原產地方  
美國 ▼

許可證類別  
進口證 ▼

進入第二部份

(請注意：產品的產地規例，所有以美國科地來源均被介定為源。如有疑問，請向

[< 上一頁](#)

# 許可證申請表格

## IMPORT LICENCE APPLICATION FORM (Strategic Commodities) (See Note 1)

THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION Import and Export (Strategic Commodities) Regulations		Receipt No. :				
<b>(A) Importer</b> <small>(Name as applicant)</small>		<b>(B) Foreign Exporter</b>				
Name and Full Address :		Name and Full Address :				
Business Registration No. (12 digits) / HKID No./Passport No. :	Fax No. : Tel. No. :	Tel. No. :	Fax No. :			
Contact Person's Name :	Contact Person's Tel. No. : <small>(if different from Tel.No. above)</small>	<b>(D) End-use of Commodities at (C) :</b> <small>(Tick as appropriate)</small>				
<b>(C) End-User of Commodities at (G) :</b> <small>(See Note (2)) :</small>		End-use:				
Name and Full Address :		Goods are for <input type="checkbox"/> (a) local consumption <input type="checkbox"/> (b) re-export to _____				
Tel. No. :	Fax No. :	<b>(F) Export Authorization of Foreign Exporting or Product's Originating Country(Place) / Import Authorization of Product's Final Destination :</b> <small>(See Note (3))</small>				
<b>(E) Shipment Details</b>		Authorization Type and its Reference No. :				
<b>Exporting Country/Place</b> <small>(See Note (3))</small>		Name :				
Name :	HS Country/Territory Code :	HS Country/Territory Code :				
Arrival Date : <small>(See Note (4))</small>	Vessel/Flight/Vehicle No. : <small>(See Note (4))</small>	Issuing Country (Place) :	Issue Date :			
<b>(G) Commodities</b>						
Brand/Make/Name	Model/Part No.	Full Product Description <small>(include serial no. if applicable)</small>	Country (Place) of Origin <small>(See Note (6))</small>	Pre-classification Ref. No. <small>(if applicable)</small> <small>(See Note (7))</small>	No. of Units	C.I.F. Value <small>(in HK \$)</small> <small>(See Note (8))</small>
Total:						
<b>(H) Conditions of Licence</b>						
<p>(1) Import licence, if granted by the Director-General of Trade and Industry, will be granted in reliance on the information declared on this application. Provision of false information or making false declaration shall render the licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licence.</p> <p>(2) A breach of any of the Conditions of Licence imposed by the Director-General of Trade and Industry may render the licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned.</p> <p>(3) The goods covered by the licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons.</p> <p>(4) In case the goods covered by the licence is not for the importer's own use but is to be further transferred, the importer should ensure that the recipient(s) of the goods are duly informed of all the conditions of the licence.</p> <p>(5) Importer should take appropriate precautionary measures to ensure that the goods covered by the licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods imported under the licence are not for civil end-use.</p> <p>(6) The licence only authorises import of the goods. Re-export must be covered by an export licence issued by the Director-General of Trade and Industry if the goods fall under the control of the Import and Export (Strategic Commodities) Regulations at the time of export.</p> <p>(7) For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorised officers.</p> <p>(8) If the arms and ammunition covered by the licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of the licence is subject to the issue of a valid</p>						

## EXPORT LICENCE APPLICATION FORM (Strategic Commodities) (See Note 1)

THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION Import and Export (Strategic Commodities) Regulations		Receipt No. :				
<b>(A) Exporter</b> <small>(Name as applicant)</small>		<b>(B) Consignee</b>				
Name and Full Address :		Name and Full Address :				
Business Registration No. (12 digits) / HKID No./Passport No. :	Fax No. : Tel. No. :	Tel. No. :	Fax No. :			
Contact Person Name :	Contact Person's Tel. No. : <small>(if different from Tel.No. above)</small>	<b>(D) End-use of Commodities at (G) :</b>				
<b>(C) End-User of Commodities at (G) :</b> <small>(See Note (2)) :</small>		Name and Full Address :				
Name and Full Address :		Goods are for <input type="checkbox"/> (a) local consumption <input type="checkbox"/> (b) re-export to _____				
Tel. No. :	Fax No. :	<b>(F) Export Authorization of Foreign Exporting or Product's Originating Country(Place) / Import Authorization of Product's Final Destination / Hong Kong Import Licence Number</b> <small>(See Note (5)) :</small>				
<b>(E) Shipment Details</b>		Authorization Type and its Reference No. :				
<b>Destination</b> <small>(See Note (3))</small>		Name :				
Name :	HS Country/Territory Code :	HS Country/Territory Code :				
Departure Date <small>(See Note (4)) :</small>	Vessel/Flight/Vehicle No. <small>(See Note (4)) :</small>	Issuing Country (Place) :	Issue Date :			
<b>(G) Commodities</b> <small>(See Note (6))</small>						
Brand/Make/Name	Model/Part No.	Full Product Description <small>(include serial no. if applicable)</small>	Country (Place) of Origin <small>(See Note (7))</small>	Pre-classification Ref. No. <small>(if applicable)</small> <small>(See Note (8))</small>	No. of Units	F.O.B. Value <small>(in HK \$)</small> <small>(See Note (9))</small>
Total:						
<b>Hong Kong Manufacturer/Processor</b> <small>(Name and Address)</small> <small>(See Note (10)) :</small>						
<b>(H) Condition of Licence</b>						
<p>(1) Export licence, if granted by the Director-General of Trade and Industry, will be granted in reliance on the information declared on this application. Provision of false information or making false declaration shall render the licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licence.</p> <p>(2) A breach of any of the Conditions of Licence imposed by the Director-General of Trade and Industry may render the licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned.</p> <p>(3) The goods covered by the licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons.</p> <p>(4) Exporter is required to inform the recipient(s) of the goods of all the conditions pertaining to the licence.</p> <p>(5) Exporter should take appropriate precautionary measures to ensure that the goods covered by the licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods exported under the licence are not for civil end-use.</p> <p>(6) For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorised officers.</p> <p>(7) If the arms and ammunition covered by the licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of the licence is subject to the issue of a valid licence for possession or dealer's licence by the Hong Kong Police Force.</p>						

# 遞交方法

## 紙張申請

- 把申請表的正本連同所需文件交回工業貿易署的綜合顧客服務中心。

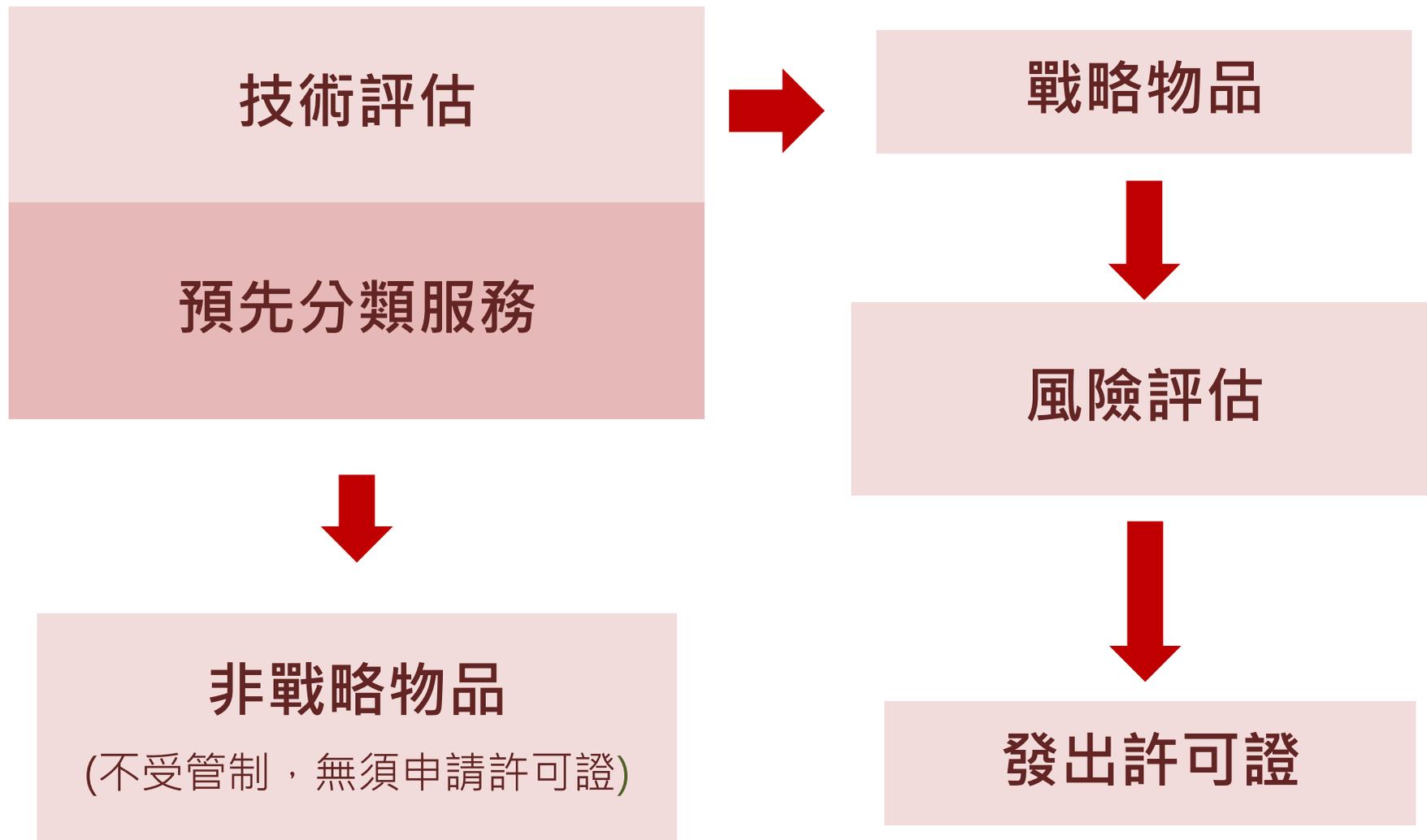
## 電子申請

- 透過電子帳戶填寫及遞交進出口許可證申請。



# 審批許可證的流程

收到申請後:



# 許可證的條件

ORIGINAL (CARRIER'S COPY)



THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION  
 Import and Export Ordinance, (Cap. 60)  
 Import and Export (Strategic Commodities) Regulations

**IMPORT LICENCE (STRATEGIC COMMODITIES)**

Application Receipt No.:	23A000049	Date of Issue:	31-JUL-2023
Licence No.:	IL32000015	Valid from	31-JUL-2023 to 30-JAN-2024

This licence authorises the licensee to effect import shipment(s) as described below. This licence is granted in reliance on the information provided and declared by the licensee on the application under the stated Application Receipt No. and is subject to the conditions stated in Part (H) on this licence, as well as any other applicable provisions in the Import and Export Ordinance (Cap. 60) and the Import and Export (Strategic Commodities) Regulations made under it.

**(A) IMPORTER (same as licensee)**

<b>Name and Address :</b> ABC COMPANY FLAT A, 11/F, TID TOWER, ABC STREET, MONG KOK, HONG KONG, HONG KONG SPECIAL ADMINISTRATIVE REGION	<b>Business Registration No. :</b> 00000000-195
	<b>Tel No. :</b> (852)-12345678
	<b>Fax No. :</b> (852)-87654321

**(B) FOREIGN EXPORTER**

<b>Name and Address :</b> To order of: FANTASTIC FUNNY*LAND WORLD BD, SV STREET, BANGKOK, THAILAND	<b>Tel No. :</b> -----
	<b>Fax No. :</b> -----

**(C) END-USER**

<b>Name and Address :</b> Not available	<b>Tel No. :</b> -----
	<b>Fax No. :</b> -----

**(D) END USE OF THE COMMODITIES AT (G)**

For Importer : For stock and resale.
Goods are for local consumption only

**(E) SHIPMENT DETAILS**

<b>Exporting Country (Place) :</b> THE MAINLAND OF CHINA	
<b>Arrival Date :</b> NOT YET ARRIVED	<b>Vessel/Flight/Vehicle No. :</b> NOT YET DECIDED

**(F) EXPORT AUTHORISATION OF FOREIGN EXPORTING or PRODUCT'S ORIGINATING COUNTRY (PLACE) / IMPORT AUTHORISATION OF DESTINATION**

<b>Authorisation Type and its Reference No. :</b> US Licence Exception ENC	
<b>Issuing Country (Place) :</b> UNITED STATES	<b>Issue Date :</b> Not Applicable



Import Licence No. : IL32000015

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**(G) COMMODITIES**

Description	Country (Place) of Origin	Pre-Classification Ref. No.	Quantity	C.I.F. Value (HK\$)
1. TID TASK 1 - CASE A TASK 1 - CASE A	THE MAINLAND OF CHINA	10901 (5A002)	1 piece(s)	\$0.00
<b>Total :</b>			1 piece(s)	\$0.00

**(H) CONDITIONS OF LICENCE**

- (1) This licence is granted in reliance on the information provided and declared by the licensee on the application under the stated Application Receipt No. Provision of false information or making false declaration in the said application shall render this licence null and void. Heavy penalties are provided for false declaration and information, unauthorised alterations of the licence and use of forged or altered licence.
- (2) Breach of any of the conditions of licence imposed by the Director-General of Trade and Industry may render this licence to be cancelled, revoked or suspended in addition to other appropriate legal and/or administrative actions to be taken against the entities concerned.
- (3) The goods covered by this licence are not to be used in relation to nuclear, biological or chemical weapons or missile capable of delivering these weapons.
- (4) In case the goods covered by this licence is not for the importer's own use but is to be further transferred, the importer should ensure that the recipients of the goods are duly informed of all the conditions of the licence.
- (5) The importer should take appropriate precautionary measures to ensure that the goods covered by this licence will only be used by or transferred to civil end-users for civil end-use. Prior approval from the Director-General of Trade and Industry is required if the goods covered by this licence are not for civil end-use.
- (6) This licence only authorises import of the goods. Re-export must be covered by an export licence issued by the Director-General of Trade and Industry if the goods fall under the control of the Import and Export (Strategic Commodities) Regulations at the time of export.
- (7) For in-transit cases, the goods must remain on board at all times while in Hong Kong unless directed by the Commissioner of Customs and Excise or his authorized officers.
- (8) If the arms and ammunition covered by this licence also fall under the control of the Firearms and Ammunition Ordinance (Cap. 238), the validity of this licence is subject to the issue of a valid licence for possession or dealer's licence by the Hong Kong Police Force.
- (9) This licence allows part shipments. In case of part shipments, the importer must provide correct shipment arrival details on this licence and must not take delivery of the goods until such information has been entered by the carrier. Written declaration (SC 114) should also be completed and passed to the carrier. When the balance of the consignment covered by the licence is imported, the original of the licence must be given to the shipping, airline or transportation company.
- (10) The original of this licence shall be the only valid copy against which the carrier may release the goods described herein to the importer on arrival in Hong Kong unless special authority to permit release of such goods against a certified true copy is granted by the Director-General of Trade and Industry or an officer authorized by him. The carrier should check details given by the importer and return the licence or written declaration in the case of part-shipment to Trade and Industry Department together with the relevant manifest.
- (11) The importer must lodge import declarations with the Commissioner of Customs and Excise in respect of items on this licence within 14 days of shipment.
- (12) This licence is issued to the importer for his use to import goods covered by this licence and is NOT TRANSFERABLE.
- (13) All or any of the information in this licence may be disclosed by Trade and Industry Department to third parties other in Hong Kong or elsewhere provided that such disclosure is in the public interest.
- (14) The Director-General of Trade and Industry reserves the right to impose additional conditions of licence as he sees fit in the public interest. Such additional conditions may be promulgated in circulars and notices issued by Trade and Industry Department.

Issued by :  
  
  
 MAKAYLA KAM  
 for Director-General of Trade and Industry

\*\*\*\*\* End of Licence \*\*\*\*\*

Import Licence No. : IL32000015

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# 許可證的有效期



- 進口許可證的有效期為六個月，而出口許可證則為三個月。
- 在進口證有效期內貨品可以部份付運。然而，根據法例，這項安排並不適用於出口戰略物品。
- 如獲批的許可證在有效期內沒有付運，我們鼓勵商號向我們申請取消。

# 貿易便利化措施



# 原則性批准 ( AIP )

- 「適用於戰略物品許可證服務大用量客戶的原則性批准安排」 ( 原則性批准 / AIP )
  - 貿易便利化措施
  - 簡化戰略物品許可證申請的處理程序，加快許可證的簽發
- 適用的戰略物品種類
  - 敏感程度較低的貨品 - 如類別5 ( 第2部 ) - 資訊安全
  - 工貿署會審核每個個案及產品

# AIP申請資格

- 合資格的公司

- 持有戰略物品管制電子 ( E-SC ) 帳戶
- 戰略物品許可證經常申請人：12個月內獲簽發  
100+份許可證(需涉及AIP適用產品)
- 具備良好的遵守規則記錄：24個月內沒有
  - ✓ 涉及戰略物品的定罪記錄
  - ✓ 被工貿署發出超過10封涉及戰略物品的警告信

# AIP申請手續

初步評估公司的申請資格



填妥申請表



審核申請



通知AIP申請是否獲得批准（初步批准信件）



申請人簽署承諾書和聲明



發出「原則性批准」信件（AIP信件）

# AIP許可證申請

- **AIP許可證申請**

- 在付運貨物前根據AIP信件的涵蓋範圍去遞交申請
- 透過E-SC電子賬戶申請

- **簽發AIP許可證**

- ✓ 由工貿署系統自動處理
- ✓ 不論是否工作日即日獲批
- ✓ 以電子方式發出通知訊息和獲簽發的許可證
- ✓ 申請人可自行列印

# 貨物組別 ( PG )

- 貨物組別安排 ( PG Arrangement ) 先導計劃
  - 邀請持有E-SC的用戶試行
- 適用的戰略物品種類：
  - 敏感程度較低的貨品

歡迎對PG安排有興趣的公司與我們聯絡商討詳情！

# PG許可證申請

(1) 獲得PG許可證 及 (2) 提交實際付運報告或 (3) 取消PG許可證



實際付運報告須包括以下付運資料：

- 付運日期及詳情 (例如船隻 / 班機 / 車輛編號)
- 進出口貨品的型號 / 部件號碼，及詳細描述
- 進出口貨品的數量、單位、金額

# 特色

- 靈活、簡易的許可證申請程序
  - 「先申請許可證，後補付運詳情」
- 按每票付運申請
  - 「一證一付運」
    - ✓ 減低領取紙本許可證的物流成本
    - ✓ 擴大每張許可証的貨品涵蓋種類
    - ✓ 提升付運自由度
    - ✓ 更完善的許可證紀錄

# ICP

## 業界的內部遵守法例計劃 ( Internal Compliance Programme)



# 內部遵守法例計劃

- 香港所有商號均有責任嚴格遵守《進出口條例》及《進出口（戰略物品）規例》

政府整體管制



個別商號的責任



防止大規模毀滅武器擴散、  
促進遵守戰略貿易管制法例  
的規定

商號推行「內部管制計劃」

# 內部遵守法例計劃

- 「內部管制計劃」價值

- ✓ 向商號處理戰略物品進出口的人員提供指引，以加強他們對戰略貿易管制事宜的認識
- ✓ 商號可以有效的「內部管制計劃」為受懷疑的交易辯護，指已設有安全機制、並採取一切合理措施防範產品轉用於大規模毀滅武器發展計劃
- ✓ 確立例行審核所有交易的方法，有助消除可疑的交易情況，讓商號對貨品供應作出適當的判斷，確保只有合法的商業交易才得以進行

# 「內部管制計劃」要素

每家商號的業務性質和規模有別，因此「內部管制計劃」的實施情況亦不盡相同。

- 委任員工專門負責進 / 出口管制工作
  - 商號須委任合適的人員負責實施內部管制計劃
  - 各業務單位按照貿易管制隊伍所發出的指示和指引營運

# 「內部管制計劃」要素

- 與貿易管制有關的程序

- 商業交易 / 訂單 / 查詢的審查工作，必須在供應貨品的確定合約簽訂前進行：



# 「內部管制計劃」要素

## • 交易與付運

### 申請許可證

- 如未能獲得由本署發出的有效許可證，不得進口或出口：
- 受管制貨品
- 可能會用於發展大規模毀滅武器但非受管制貨品

### 付運管制

- 進口或出口的物品必須與相關船務文件所申報者相同
- 付運部門在付運時如發現有任何不相符之處應即時通知貿易管制隊伍

# 「內部管制計劃」要素

## • 內部定期工作

### 內部稽核

- 定期稽核或檢討貿易管制有關功能
- 向公司管理層呈報及妥為記錄該稽核結果

### 教育與培訓

- 定期為參與進出口戰略物品的所有員工提供培訓及教育
- 保存培訓記錄

### 保存記錄

- 根據香港法例規定，保留與受管制貨品進口或出口有關的所有文件

# 「內部管制計劃」要素

- 給予子公司或附屬公司的指引（如適用）
  - 向子公司或附屬公司提供貿易管制措施指引，以助其遵循法例規定，並推行本身的「內部管制計劃」。
- 報告違規情況
  - 提醒僱員有責任報告任何違反《進出口（戰略物品）規例》或「內部管制計劃」的情況
  - 訂立報告的明確程序
  - 向本署報告有任何違反《進出口（戰略物品）規例》的情況

# 戰略物品管制制度網站

- 許可證申請須知
- 查核許可證審批狀況
- 產品管制清單及管制狀況
- 相關規例及服務的最新通告
- 貿易制裁的資訊
- 下載表格
- 網址：[www.stc.tid.gov.hk](http://www.stc.tid.gov.hk)

# 聯絡我們



簽證查詢：

2398 5575



分類查詢：

2398 5587



技術支援(電子服務)：

2398 5659



電郵：

[stc@tid.gov.hk](mailto:stc@tid.gov.hk)

多謝!

